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Government of Karnataka
Department of Industries and Commerce

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Office of the Director,
Khanija Bhavan, Race Course Road,
Bangalore-560 001,
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CIRCULAR

Sub: Operational guidelines for package of Incentives and
Concessions under Karnataka Indl.Policy.

Ref: 94th State Level Co-ordination Committee meeting held
on 19-5-2009 under the Chairmanship of Principal Secretary,
Commerce & Industries Department.

We are happy to inform that the State Level Co-ordination Committee in its 94th meeting held on 19-5-2009 has accorded approval for the operational guidelines on packages of Incentives and Concessions under Karnataka Indl.Policy 2009-14. Accordingly a copy of the same is enclosed herewith for ready reference of all the entrepreneur and requested to make use of the same for availing various benefits for their new industrial investments in the State of Karnataka.

Further the operational guidelines in respect of Stamp duty exemption, Concessional Registration Charges, APMC cess/fee exemption, Interest free loan against VAT and Electricity duty exemption will be issued separately as and when the concerned department issues the notifications.



Commissioner for Indl. Development
& Director of Industries & Commerce.

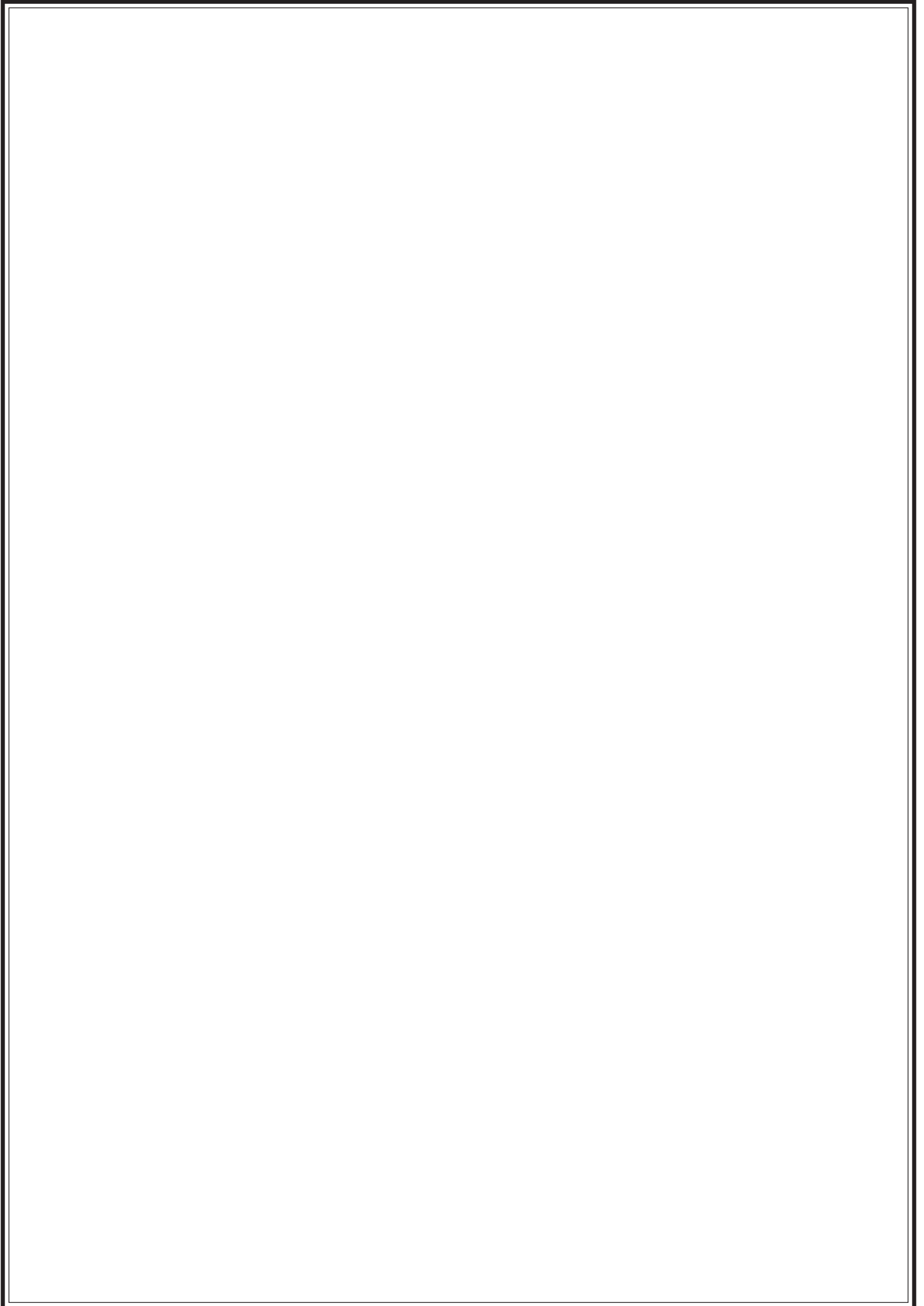


GOVERNMENT OF KARNATAKA
DEPARTMENT OF INDUSTRIES AND COMMERCE

OPERATIONAL GUIDELINES

**FOR PACKAGE OF INCENTIVES AND CONCESSIONS
UNDER KARNATAKA INDUSTRIAL POLICIES
2001-06, 2006-11 & 2009-14**

**(As approved by the STATE LEVEL CO-ORDINATION COMMITTEE
IN ITS 94TH MEETING held on 19-5-2009)**



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ABBREVIATIONS

APMC	Agricultural Produce Marketing Committee
BIS	Bureau of Indian Standards
CA	Chartered Accountant
CCC	China Compulsory Certificate
CE	Conformity Europeenne
CEDOK	Center for Entrepreneurship Development of Karnataka
CFE	Consent For Establishment
CFO	Consent For Operation
DFEE	Department of Forest Ecology and Environment
DIC	District Industries Centre
DLC	District Level Committee to sanction Investment Promotion Subsidy
DLSWCC	District Level Single Window Clearance Committee
EHTP	Export Hardware Technology Park
EOU	Export Oriented Units
EPF	Employees Provident Fund
EPIP	Export Promotion Industrial Park
ESCOM	Electricity Supply Company
ESI	Employees State Insurance
ET	Entry Tax
ETP	Effluent Treatment Plant
FAVC	Fixed Asset Valuation Certificate
GDP	Gross Domestic Produce
GO	Government Order
GOI	Government of India
GOK	Government of Karnataka
HACCP	Hazard Analysis and Critical Control Points
HDI	Human Development Index
I & C	Department of Industries and Commerce
ID	Industrial Development
IEM	Industrial Entrepreneurs' Memorandum
IL	Industrial License
ISO	International Organization for Standardization

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KCTU	Karnataka Council for Technology Upgradation
KIADB	Karnataka Industrial Area Development Board
KLR	Karnataka Land Reforms
KSFC	Karnataka State Finance Corporation
KSIIDC	Karnataka State Industrial Investment Development Corporation
KSPCB	Karnataka State Pollution Control Board
KSSIDC	Karnataka State Small Industries Development Corporation
KVI	Khadi and Village Industries Board
KVIB	Khadi and Village Industries Board
KVIC	Khadi and Village Industries Commission
MOEF	Ministry of Ecology and Forest
MSME	Micro Small and Medium Enterprises
NA	Non Agriculture
PH	Physically Handicapped
PMEGP	Prime Minister Employment Generation Programme
PMT	Permanent Registration Certificate
PRC	Provisional Registration Certificate
R & D	Research and Development
SC	Schedule Cast
SEZ	Special Economic Zone
SHLCC	State High Level Clearance Committee
SLCC	State Level Co-ordination Committee
SLSWCC	State Level Single Window Clearance Committee
ST	Schedule Tribe
STPI	Software Technology Parks of India
TECSOK	Technical Consultancy Services of Karnataka
VAT	Value Added Tax
VFA	Value of Fixed Asset
MNC	Multi National Company

1.0 INTRODUCTION

The Government of Karnataka has announced the Karnataka New Industrial Policy 2009-14, vide Government Order No. CI 233 SPI 2008, Bangalore Dated: 28-2-2009.

The salient features of the Karnataka Industrial Policy 2009-14 are as follows:

- (i) Envisions making Karnataka prosperous through development of human & natural resources in a systematic, scientific and sustainable manner.
- (ii) Target to provide additional employment for about 10 lakh persons in the next five years.
- (iii) Efforts to increase the Share of industry to the State GDP to 20% by the year 2014.
- (iv) To double the State's export from the current level of Rs.1,30,000 crores.
- (v) Focus on providing quality infrastructure across the State
- (vi) Thrust on Skill Development & Entrepreneurship Promotion
- (vii) Added focus on development of MSME sector
- (viii) Performance and Employment linked Incentives & Concessions

The above industrial policy and package of incentives and concessions shall come into effect from 01.04.2009 and will have a span of five years i.e upto 31.03.2014.

These guidelines are also in supersession of the guidelines issued vide Directorate's Circular No. IDF/E2/67/SLC/CIR/1990-2000 dt: 26.8.1999.

2.0 DEFINITIONS OF VARIOUS TERMS USED IN THE POLICY

	As per the MSMED Act, 2006, Manufacturing Enterprises have been defined based on the investment in plant and machinery and classified into:
2.01	MICRO ENTERPRISES - Investment upto Rs.25 lakhs
2.02	SMALL ENTERPRISES - Investment above Rs.25 lakhs and up to Rs. 500 lakhs.
2.03	Medium Enterprises - Investment above Rs.500 lakhs and up to Rs.1,000 lakhs.
	As per the MSMED Act, 2006, Service Enterprises have been defined based on investment in equipment and classified into:
2.04	MICRO ENTERPRISES - Investment upto Rs.10 lakhs
2.05	SMALL ENTERPRISES - Investment above Rs.10 lakhs and upto Rs.200 lakhs.
2.06	Medium Enterprises - Investment above Rs.200 lakhs and upto Rs.500 lakhs.
2.07	<p>Plant and Machinery</p> <p>S.O. 1722(E) – In exercise of the powers conferred by sub-section (1) of 2006) herein referred to as the said Act, the Central Government specifies the following items, the cost of which shall be excluded while calculating the investment in plant and machinery in the case of the enterprises mentioned in Section 7(1)(a) of the said Act, namely:</p> <ol style="list-style-type: none"> (i) equipment such as tools, jigs, dyes, moulds and spare parts for maintenance and the cost of consumables stores; (ii) installation of plant and machinery; (iii) research and development equipment and pollution control equipment (iv) power generation set and extra transformer installed by the enterprise as per regulations of the State Electricity Board; (v) bank charges and service charges paid to the National Small Industries Corporation or the State Small Industries Corporation; (vi) procurement or installation of cables, wiring, bus bars, electrical control panels (not mounted on individual machines), oil circuit breakers or miniature circuit breakers which are necessarily to be used for providing electrical power to the plant and machinery or for safety measures;

	<p>(vii) gas producer plants;</p> <p>(viii) transportation charges (excluding sales-tax or value added tax and excise duty) for indigenous machinery from the place of the manufacture to the site of the enterprise;</p> <p>(ix) charges paid for technical know-how for erection of plant and machinery;</p> <p>(x) such storage tanks which store raw material and finished produces and are not linked with the manufacturing process; and</p> <p>(xi) fire fighting equipment.</p> <p>While calculating the investment in plant and machinery refer to above, the original price thereof, irrespective of whether the plant and machinery are new or second handed, shall be taken into account provided that in the case of imported machinery, the following shall be included in calculating the value, namely;</p> <p>(i) Import duty (excluding miscellaneous expenses such as transportation from the port to the site of the factory, demurrage paid at the port);</p> <p>(ii) Shipping charges;</p> <p>(iii) Customs clearance charges; and</p> <p>(iv) Sales tax or value added tax.</p>
2.08	<p>Large Scale Industry/ Enterprise An Industrial Enterprise which is not classified as Micro, Small and Medium Enterprise and with investments upto Rs. 250 crores shall be classified as large scale industry/ enterprise.</p>
2.09	<p>Mega Project Projects with an investment on fixed assets exceeding Rs.250 crores.</p>
2.10	<p>100% Export Oriented Enterprise [Export Oriented Enterprise] A 100% Export Oriented Enterprise is one, which undertakes to export its entire production of goods subject to relaxation as permitted by Govt. of India from time to time. Such Enterprise may be set up any where in the State including under EPIP [Export Promotion Industrial Park] Scheme or under the EHTP [Electronic Hardware Technology Park] Software Technology Park Scheme, Special Economic Zone, etc.</p>
2.11	<p>Value of Fixed Assets (VFA) Value of Fixed Assets shall mean the total investment made on land, building, plant & machinery and such other productive assets viz., tools, jigs, and fixtures, dies, utilities like boilers, compressors, diesel generating sets, cranes, material handling equipments electrical items including electrical wiring, M/c transportation & erection charges and such other equipments directly related to production purposes.</p>
2.12	<p>Existing Industrial Enterprise Shall mean an enterprise gone into commercial production/ servicing on or before 31.3.2009 or the enterprise which are declared as pipeline status enterprise under 2006-11 policy.</p>
2.13	<p>New Industrial Enterprise Shall mean an enterprise which undertake to invest on fixed assets on or after 1.4.2009 with own/ Bank/ Financial Institution finance. This will includes the enterprise which have taken effective steps on or before 31.3.2009 and could not fulfill all the conditions stipulated for qualifying as pipeline status of enterprise under 2006-11 policy, but starts commercial production/servicing with in the operational period of 2009-14 policy.</p>
2.14	<p>Investment under Expansion / Modernization / Diversification Programme Shall mean quantum of investment by an existing enterprise under expansion/diversification/modernization programmes of not less than 50% of the original investment of the existing enterprise.</p>
2.15	<p>Date of commencement of commercial production The date on which the first sale invoice is issued by the enterprise, after trial production.</p>

2.16	Investment / Capital investment For the purpose of package of incentives and concessions, investment / capital investment shall mean investment made in fixed assets of the Enterprise.
2.17	State Level Co ordination Committee (SLCC) Shall mean the committee constituted for administration of package of incentives and concessions and interpretation of Government Orders and also earlier Policies from time to time, as per Clause II para (p) of Annexe-5 to Government Order No. CI/233/SPI/2008 dtd: 28.2.2009.
2.18	SC/ST, Women, Physically challenged, Ex-servicemen entrepreneur/ enterprise Shall mean the proprietor of the proprietary concern or all partners of the partnership firm or all the Directors in private/ public limited company
2.19	LOGISTIC FACILITIES SUPPORTING TO INDUSTRIES ONLY MEANS Shall mean an investment under Medium, Large Enterprises only and quantum of investment for claiming various incentives will be decided by a State Level Committee headed by the Commissioner for ID and Director of Industries and Commerce on case to case basis. Committee will be constituted Separately.
2.20	Date of commencement of the implementation of the project Shall mean the date on which the first purchase invoice is raised for the plant and machinery or other productive equipment
2.21	VAT (for the purpose of Incentives and Concessions of 2009-14 policy) Shall mean output tax payable (Not the net tax payable)
2.22	ESI & EPF (for the purpose of Incentives and Concessions of 2009-14 policy) Shall mean the contribution payable by the enterprise (it excludes the contribution of employees)
2.23	Approved Private Industrial Areas/Estates Shall mean the industrial areas/ estates formed with the approval of the DLSWCC/ SLSWCC or SHLCC, Specifying with minimum number of shed/plots and minimum basic amenities on lines with the KIADB/KSSIDC, Indl.areas/estates.
2.24	Health Care Centre Shall mean hospital having minimum facilities to provide medical assistance to employees and to attend any casualty taking place in the industrial areas. This shall be supported with certificate from Health Department.
2.25	DLSWCC Shall mean District Level Single Window Clearance Committee for consideration of application from entrepreneurs intending to establish industries with the investment of upto Rupees (3) three crores each to be set up in the respective districts. This was constituted under the Karnataka Industries (Facilitation) Act, 2002 and notified under Notification No.CI 208 SPI 2002, Bangalore Dated 4 th August, 2004. (Annexure-55)
2.26	SLSWCC Shall mean State Level Single Window Clearance Committee for consideration of application from entrepreneurs intending to establish industries with the investment of upto rupees (50) fifty crores each to be set up in the State. This was constituted under the Karnataka Industries (Facilitation) Act, 2002 and notified under Notification No.CI 162 SPI 2001, Bangalore Dated 26 th July, 2004. (Annexure-56)
2.27	SHLCC Shall mean State High Level Clearance Committee for consideration of application from entrepreneurs intending to establish industries with the investment of above rupees (50) fifty crores each to be set up in the State. This was constituted under the Karnataka Industries (Facilitation) Act, 2002 and notified under Notification No.CI 162 SPI 2001, Bangalore Dated 21 st August 2004 and corrigendum Dated 23 rd December, 2005. (Annexure-57)
2.28	Multi National Company A Multi National Company is an Enterprise, which manages production or delivers services in more than one Country .

3.0 GUIDELINES FOR SANCTION OF CAPITAL INVESTMENT SUBSIDY TO PIPELINE STATUS OF INDUSTRIES UNDER 2001-06 AND 2006-11 INDUSTRIAL POLICIES AND ALSO PROJECTS UNDERTAKING INVESTMENTS UNDER EXPANSION/ MODERNIZATION/ DIVERSIFICATION UNDER 2006-11 POLICY

- Ref: 1. Office Circular No. ID/E3/Sub/2006-07 dtd: 8.3.2007.
2. Govt.Order No. CI/233/SPI/2008 dtd: 28.2.2009.
3. 93rd SLCC Meeting proceedings held on 31.3.2009.

Government had announced 2006-11 Industrial Policy vide Govt. Order No. CI/319/SPI/2005 dtd: 26.8.2006 w.e.f. 1.4.2006. Accordingly the Department vide ref (1) above issued Circular for sanction of investment subsidy to new tiny/small scale industries through the existing DLC and also in accordance with the earlier guidelines. It was also instructed not to sanction investment subsidy to expansion/modernization/ diversification projects and to industrial enterprises which were in the process of being established at the time of announcement of 2006-11 Industrial Policy.

Government has announced new 2009-14 Industrial Policy vide G.O. No. CI/233/SPI/2008 dtd: 28.2.2009 w.e.f. 1.4.2009. As per this Government Order, provision is made for sanction of investment subsidy as per 2001-06 policy for investment made under projects which were in the process of implementation at the time of announcement of 2006-11 Industrial Policy subject to enterprise fulfilling certain conditions. Similarly provision is also made for sanction of investment subsidy as per 2006-11 policy for investments made under Modernization/ Diversification/ Expansion programmes and also the projects which were in the process of implementation at the time of announcement of 2009-14 policy; subject to enterprises fulfilling certain conditions. The guidelines for sanction of capital investment subsidy for pipeline cases under 2001-06 and 2006-11 policy and investment made under expansion/ diversification/ modernization programmes under 2006-11 policies are issued as under:

The Existing District Level Committees will continue to sanction Capital investment subsidy in accordance with the existing guidelines for sanction of investment subsidy.

3.1. PIPELINE STATUS OF UNITS FINANCED BY FINANCIAL INSTITUTION UNDER 2001- 06 POLICY:

All the eligible units where loan is sanctioned by Bank/Financial Institutions on or before 31.3.2006 will be considered under 2001-06 policy for sanction of capital investment subsidy subject to the units fulfilling of all the following conditions on or before 31.3.2006.

- i. The unit should have obtained valid PRC/IEM on or before 31.3.2006 from the Department of Industries & Commerce.
- ii. First release of loan amount should have been released on or before 31.3.2006 and final installment of the loan should have been released on or before 30.9.2006.
- iii. Orders should have been placed for purchase of all the plant and machinery on or before 31.3.2006, copies of quotations and invoices / purchase orders, receipts of advance payments made towards supply of plant and machinery etc. submitted to Bank/financial institution for availing loan shall be submitted to the District Industries Centre.
- iv. 50% of the building should have been constructed on or before 31.3.2006 and such enterprises shall have commenced commercial production on or before 30.9.2006. Approved building plan and building construction license from the concerned authority should have been taken and PMT/IEM Part II should have been taken on or before 30.9.2007. Power connection should have been serviced on or before 30.9.2006, if it is a power-based unit.

3.2. SELF FINANCED PIPELINE STATUS OF UNITS UNDER 2001-06 POLICY:

In case of self-financed enterprises the following conditions shall be satisfied as on 31.3.2006 to become eligible under 2001-06 policy for availing incentives and concessions.

- i) The unit should have been obtained valid PRC/IEM acknowledgement on or before 31.3.2006.
- ii) Land should have been acquired / land should have been converted in case of agricultural land or should have entered into lease agreement in respect of leased land/building on or before 31.3.2006.

- iii) 50% of the building should have been constructed in respect of own / lease land on or before 31.3.2006. Approved building plan and building license from the concerned authority should have been obtained.
- iv) Orders should have been placed for purchase of all the plant and machinery on or before 31.3.2006 and the enterprises should have commenced the commercial production on or before 30.9.2006. In accordance with the project report, copies of Quotations, invoices, purchase orders, receipts of advance payments of plant and machinery should be submitted to the DIC. PMT/IEM Part II should have been obtained on or before 30.9.2007 and power connection should have been serviced on or before 30.9.2006, if it is a power-based unit.

3.2.1. All Eligible units as per SI No. 3.1 and 3.2 have to submit subsidy application with all relevant documents to the concerned District Industries Centre before 30th September 2009 for sanction of capital investment subsidy. After which no applications shall be entertained under 2001-06 policy.

3.2.2. The units not satisfying the conditions mentioned in SI.No. 3.1 and 3.2, shall automatically falls under 2006-11 Industrial Policy.

3.2.3. The Joint Directors, District Industries Centre have to submit the proposals of all the units in 3.1 & 3.2 in the prescribed format as in Annexure – 27, to the Head Office and obtain prior approval from the Head Office before sanctioning the Capital investment subsidy to eligible units in the District Level Committee.

3.3. FOR BANK FINANCED/FINANCIAL INSTITUTION CASES UNDER 2006-11 POLICY

- a) Industrial enterprises which were/are in the process of being established at the time of announcement of 2009-14 Industrial Policy shall have an option of availing incentives and concessions under the 2006-11 Industrial Policy provided loan is sanctioned by the financial institution on or before 31.3.2009 and subject to fulfillment of all the following conditions:
 - i. First release of loan should be on or before 31.3.2009.
 - ii. Orders should have been placed for plant and machinery on or before 31.3.2009.
 - iii. Such enterprises shall commence commercial production on or before 30.9.2009.
- b) The units fulfilling above conditions shall file an option application for exercising capital investment subsidy under 2006-11 policy on or before 30.6.2009 with the Commissioner for Industrial Development and Director of Industries and Commerce, Bangalore or in office of the concerned District Industries Centers with necessary documentary evidence viz., PRC/ PMT/IEM Part I/II, loan sanction letter, 1st loan release document and copies of the purchase order placed for plant and machinery. Options once exercised cannot be withdrawn and shall be binding. Enterprises which do not exercise such options on or before 30.6.2009 shall automatically be governed by the provisions of 2009-14 policy.
- c) All eligible enterprises under 2006-11 Industrial Policy has to submit application for sanction of Capital Investment subsidy before the concerned District Industries Centre within a period of 6 months from the date of commencement of commercial production i.e. on or before 31-3-2010. Enterprises who fail to comply this deadline shall not be eligible for Capital investment subsidy.
- d) As per the Head Office Circular dtd: 8.3.2007, where the District Industries Centre have not sanctioned investment subsidy to the units undertaken investments under expansion/ diversification/ modernization programmes under 2006-11 Industrial Policy, those enterprises are also eligible for sanction of investment subsidy subject to units satisfying the conditions laid down in SI.No. 3.3 (a) to (c) in respect of pipeline cases. Further the units already implemented the projects on or before 31.3.2009 under expansion/ modernization/ diversification programme should file the application for sanction of investment subsidy to DIC's on or before 30.9.2009, if not filed.
- e) Sanction of investment subsidy should be subject to the terms and conditions laid down under 2006-11 and 2009-14 Industrial Policies.

NOTE The DICs shall issue certificates in respect of stamp duty, NA conversion, entry tax exemption and APMC - Cess only after the concerned departments issues concurrent notifications under 2009-14 policy and also for investments under expansion/ modernization/diversification programme under 2006-11 policy.

4.0 INVESTMENT PROMOTION SUBSIDY UNDER 2009-14 POLICY:

Investment promotion subsidy shall be available to Manufacturing Micro, Small and Medium Enterprises including SEZ units and Selected Service Enterprises listed at **Annexure-2** that have made new investments on fixed assets on or after 1.4.2009 as new enterprise or an existing industries/ enterprises under expansion or modernization or diversification programme.

This investment promotion subsidy is a performance linked. 25% of the subsidy sanctioned amount will be released every year on refund basis towards the payments made by the enterprise in respect of gross VAT, ESI and PF and power tariff.

In cases of enterprises which do not use power and not covered under VAT, EPF, ESI, the investment promotion subsidy will be released against the loan dues.

The details of investment promotion subsidy available to MSMEs in different zones are as mentioned in the following table.

a)	Micro manufacturing Enterprises	Zone – 1 : 25% VFA (max. Rs.10 lakhs) Zone – 2 : 20% VFA (max. Rs.7.5 lakhs) Zone – 3 : 15% VFA (max. Rs.5.00 lakhs) Zone – 4 : Nil
b)	Small Scale manufacturing Enterprises.	Zone – 1 : 20% VFA (max. Rs.20 lakhs) Zone – 2 : 15% VFA (max. Rs.15 lakhs) Zone - 3 : 10% VFA (max.Rs.10 lakhs) Zone – 4 : Nil
c)	Medium manufacturing Enterprises (those who employ minimum 25 workers).	Zone – 1 : Rs.30 lakhs Zone – 2 : Rs.20 lakhs Zone – 3 : Nil Zone – 4 : Nil

In addition to the investment promotion subsidy mentioned above, additional investment promotion subsidy of 5% of the value of fixed assets, subject to a ceiling of Rs.1 lakh, Rs.3 lakhs and Rs. 5 lakhs shall be available for micro, small and medium manufacturing enterprises respectively in respect of entrepreneurs belonging into SC/ST, Women, Physically challenged, Ex-Servicemen and enterprises coming up in most backward taluks of Hyderabad Karnataka Region (Gulbarga, Bidar, Raichur, Bellary and Koppal Districts). This additional investment promotion subsidy shall be offered over and above the normal subsidy indicated above. However, entrepreneurs who are covered under more than one special category shall be eligible for an investment promotion subsidy under any one of the special categories only.

The investment promotion subsidy is available only to Enterprises that availed term loan from KSFC/KSIIDC / Banks / other Recognised Financial Institutions from RBI.

Investment made on leased land/building with a minimum of 20 years lease period may also be considered for promotional subsidy excluding the cost of the lease land/building.

The quantum of investment promotion subsidy shall be computed on the value of fixed assets (VFA) as approved by the financial institutions/ commercial banks or actual investment in fixed assets at site, which ever is less.

Total quantum of investment promotion subsidy for any industrial enterprise shall not exceed the prescribed upper limit, including for expansion, modernization, diversification, which is inclusive of investment subsidy availed under earlier package of incentives on fixed assets.

Wherever MSMEs avail any kind of subsidy or grant under any other schemes/ policies of Government of Karnataka/ Government of India, only differential amount of subsidy, if any would be sanctioned.

All eligible Enterprises under 2009-14 Industrial Policy shall submit application for sanction of subsidy before the concerned District Industries Centre within a period from 6 months from the date of commencement of commercial production. Enterprises that fail to comply this deadline will not be eligible for investment promotion subsidy.

Other terms and conditions not specifically mentioned herein above but indicated in the Government Order No. CI/233/SPI/2008 dtd: 28.2.2009 and subsequent amendments, clarifications issued by the State Level Coordination Committee thereon shall apply mutatis-mutandis.

4.1 Procedure for claiming Investment Promotion Subsidy:

Eligible industrial enterprises shall apply with the following documents to the concerned District Industries Centre.

- a) Duly filled in Application in the prescribed format as in **Annexure – 4**.
- b) Original Statement of Fixed Assets in prescribed format, duly certified by the concerned financial institution as in **Annexure – 5**.
- c) Original Investment certificate issued by the KSFC/KSIIDC/Bank/Other financial institutions in prescribed format as in **Annexure -6**.
- d) Original Certificate from Chartered Engineer/ Architect in prescribed format in respect of investment made in building as in **Annexure-7**.
- e) Form of declaration regarding employment of local persons in the prescribed format as in **Annexure-8**.
- f) A copy of the Project report duly signed by the Promoter.
- g) Copy of Land documents/ records.
- h) Copy of term loan sanction orders from KSFC/ KSIIDC/ Bank/ Other financial institutions.
- i) Copy of First sale invoice
- j) Copy of IEM acknowledgement – Part - II.
- k) Copy of registered partnership deed / Memorandum and Articles of Association/ byelaws.
- l) Copy of Caste certificates in respect of SC/ST and appropriate certificate/ documents in respect of physically challenged/ Ex-servicemen entrepreneurs.
- m) Copy of power sanction/service, letter, VAT Registration Certificate,
- n) ESI/EPF Registration copy.
- o) Copy of approved building plan along with construction license.
- p) Copy of license obtained from local authority.

4.2 Scrutiny of Investment Promotion Subsidy claim for sanction:

The concerned Member Secretary of the District Level Committee for Sanction of Investment Promotion Subsidy on receipt of application shall personally verify the genuineness of the claim with reference to the documents furnished by the enterprise. On satisfying with the documents furnished by the enterprise, with the approval of the Joint Director, shall take up physical verification of the enterprise and shall arrange to place the proposal before the District Level Committee for sanction of investment promotion subsidy to the applicant enterprise.

The scrutiny of Investment Promotion Subsidy application has to be done in the following manner.

- (i) Land actually required for putting up the factory building with provision for immediate expansion shall be considered.
- (ii) Investment made on the factory building required for housing plant and machinery and storage of raw materials, finished goods, drying yards, soaking pits and such other structures directly related to the production purposes shall only be considered.
- (iii) Reasonable area constructed for office use within the factory premises shall be considered.

- (iv) Investment made on Guest/ Rest house, Decorative arch entrances, high-rise compound walls, goods carriers, and such other non-essential structures should be excluded.
- (v) Value of building has to be accepted as certified by the KSFC/ KSIIDC/ Bank/ other financial institutions and it should be cross checked with reference to the Chartered Engineer's certificate.
- (vi) The plant and machineries installed in the enterprise have to be verified with reference to the statement of fixed assets duly certified by the KSFC/ KSIIDC/ Bank/ other financial institutions and value indicated in the investment certificate has to be accepted if the plant and machinery matches with the investment certificate.
- (vii) Miscellaneous items like computers, printers, fax machine and office furniture's used only for the concerned enterprise purpose have to be considered.
- (viii) The cost of old /second hand machinery and equipment shall be excluded for computing the investment in the plant and machinery. However imported second hand machinery brought into the country for the first time by the enterprise shall be considered, subject to such machineries shall have residual life span of minimum five years.
- (ix) Actual transportation cost, electrification of the plant and machinery and erection cost shall be considered.
- (x) Any other investments directly made by the enterprise on eligible items, has to be certified by the KSFC/ KSIIDC/ Bank/ other financial institutions and it must be considered by obtaining the bills and receipts. This is subject to the physical verification of such assets and the payments of more than Rs.20,000 should be by cheque/D.D.
- (xi) Member Secretary, DLC is responsible for attestation of documents and verification of local employment details. He has to submit the investigation report to the Joint Director, District Industries Centre as per **Annexure-10** and prepare agenda notes for the DLC Committee as per the **Annexure-11**, with the approval of Joint Director, DIC.
- (xii) On obtaining approval from DLC, the Joint Director, District Industries Centre shall issue the Sanction order as per **Annexure-12**. The enterprise, on receipt of the Sanction order, shall furnish the undertaking as per **Annexure-13**.

4.3 District Level Committee for Sanction of Investment Promotion Subsidy.

“A” Category Districts

1	Joint Director, District Industries Centre	Chairman
2	Lead Bank Manager	Member
3	Manager, KSFC	Member
4	D.D (KVI) - Zilla Panchayath	Member
5	Deputy Director, District Industries Centre	Member Secretary

“B” Category Districts

1	Joint Director, District Industries Centre	Chairman
2	Lead Bank Manager	Member
3	Manager, KSFC	Member
4	D.D (KVI) - Zilla Panchayath	Member
5	Assistant Director, District Industries Centre	Member Secretary

4.4 Release of Investment Promotion Subsidy.

- (i) Enterprises who have been sanctioned Investment Promotional Subsidy shall submit their claim every year i.e., on or before 30th April; indicating the value of VAT, ESI, EPF and Total Power tariff paid in the prescribed format **Annexure –14 Part-A** along with the certified copies of the amount paid from the concerned agencies. In case the enterprise is working with out power and not covered by VAT, ESI & EPF, shall claim for refund of loan amount (both principal and interest) paid to concerned financial institutions/ banks in the format as in **Annexure – 14 Part -B**. For this purpose, year means from 1st April to 31st March.
- (ii) Actual VAT, ESI, EPF and Total Power tariff paid and actual loan due paid should only be considered for reimbursement and no penalties/ fines/ monthly minimum charges for closure period shall be considered for computation.
- (iii) After verifying the documents the Joint Director, District Industries Centre shall submit the consolidated claim of all the enterprises in **Annexure-15** to Head office for release of funds, on or before 30th May and on receiving the budget allocation from the Head Office, the concerned Joint Director, District Industries Centre will reimburse max of 25% of the sanctioned promotion subsidy to the Enterprise. The release order shall be in the **Annexure-16**.
- (iv) The Enterprise has to avail the sanctioned Investment Promotion Subsidy within the period of five years from the date of sanction of the subsidy amount. Unutilised portion of sanctioned subsidy amount if any after 5 years from the date of subsidy sanctioned will automatically get lapsed. The last years claim shall be made on or before the date of 5 years from the date of sanction of Investment Promotion Subsidy.
- (v) The eligible amount shall be disbursed on payees receipt, duly countersigned by the Joint Director. The Investment Promotion Subsidy amount may also be released to the financial institution or bank, if there is any power of attorney to that effect or otherwise directly to the enterprise. Separate registers should be maintained by the District Industries Centre to watch the total period and amount of Investment Promotion Subsidy granted and availed by each enterprise.

NOTE: The sanctioned Investment Promotion Subsidy amount will be released subject to the availability of grants from the Government. The enterprise concerned can't claim it as a right as the said amount is being released as Grant-in-aid.

6.0 REFUND OF LAND CONVERSION FINE UNDER 2009-14 POLICY.

The payment of conversion fee for converting the land from agriculture use to industrial use including for development of industrial areas by private investors will be waived as detailed below:

Zone-1	100%
Zone-2	100%
Zone-3	75%
Zone-4	Nil

Waiver of Conversion fine is available only to Manufacturing MSMEs and Selected Service Enterprises listed at **Annexure-2**, Large & Mega Industries (i.e. new and Expansion/ Modernisation/ Diversification programme only) and approved private Industrial Areas/ Estates.

The waiver of conversion fine will be reimbursed to the eligible enterprises after implementation of projects i.e. after commencement of the commercial production by the individual enterprises and after completion of the implementation of the private industrial areas/ estates in all manners (i.e., Area/ Estate shall have all the infrastructure facilities)

NOTE

- a) The enterprises obtain land conversion letter from Revenue Department and implements the projects within the operational period of the policy shall only be considered.
- b) The above reimbursement benefit is available for both own and financed enterprises also.

6.1 Procedure for claiming refund of land conversion fine

The eligible Micro, Small, Medium, Large enterprises, Mega projects and also promoters of private industrial areas/ estates shall apply to the respective Joint Director, District Industries Centres along with the following documents for claiming reimbursement of conversion fine;

(xii) On obtaining approval from DLC, the Joint Director, District Industries Centre shall issue the Sanction order as per **Annexure-12**. The enterprise, on receipt of the Sanction order, shall f

- i Application in plain paper / letterhead.
- ii Copy of the IEM acknowledgement part II or industrial license.
- iii Copy of approved building plan and building construction license.
- iv Copy of Land documents/ records.
- v Copy of land conversion order issued by Revenue Department and Copy of payment receipt made for land of conversion.
- vi Copy of Project Report, duly signed by the promoter.
- vii Copy of Single Window Clearance Committee approvals.
- viii Copy of ESCOMs power sanction and service letter.
- ix Copy of First Sale Invoice in respect of individual enterprises.
- x Copy of Layout map showing the land utilization in respect of individual enterprises and approved layout in respect of private industrial areas.
- xi Copy of Partnership deed/ memorandum and Articles of Association/ Bye-laws.

6.2 Scrutiny of the claims received for refund of land conversion fine reimbursement.

6.2.1. Micro, Small and Medium Enterprises

The Joint Director, District Industries Centre after verification of the eligibility of the MSME's has to seek the required funds from the Director, Department of Industries & Commerce for reimbursement of Conversion fine, on quarterly basis in the prescribed format as in **Annexure-28**. On receipt of the funds the amount will be released to the concerned enterprise vide sanction order as in **Annexure – 18**.

6.2.2. Large and Mega Industries

The Joint Director, District Industries Centre after verification of the claim has to recommend the proposal to the Director, Department of Industries & Commerce for reimbursement of Conversion fine.

The Joint Director, ID Section, Department of Industries and Commerce after verification of the eligibility of the large and Mega Industrial enterprises, with the approval of the Director, Department of Industries & Commerce shall reimburse the conversion fine for Large and Mega industries in the prescribed format as in **Annexure-18**.

7.0 EXEMPTION FROM PAYMENT OF ENTRY TAX UNDER 2009-14 POLICY.

Entry Tax Exemption shall be available to Manufacturing MSMEs and Large & Mega Industries including the service activities listed in the **Annexure-2** (i.e. new and Expansion/ Modernisation/ Diversification only including own/financed enterprises)

Zone	During implementation	During operational phase
1, 2&3	100% exemption from payment of ET on 'Plant & Machinery and Capital Goods' for an initial period of 3 years from the date of commencement of project implementation. For this purpose, the term 'Plant & Machinery and Capital Goods' also includes Plant & Machinery, equipment etc. including machineries for captive generation of Electricity.	On raw materials, inputs, component parts & consumables (excluding petroleum products) [wherever applicable] for a period of 5 years from the date of commencement of commercial production.
4	NIL	NIL

7.1 Procedure for availing Entry Tax Exemption:

The eligible Micro, Small, Medium Enterprises, Large and Mega enterprises shall apply to the Joint Director, District Industries Centre along with the required documents.

7.1.1 The documents to be furnished by the enterprises for availing entry tax exemption during project implementation are given below.

- a. Application in plain paper/ letter head
- b. Copy of the IEM Acknowledgement Part I / II or industrial licence
- c. Copy of 1st purchase order placed for machinery.
- d. Copy of the Project Report, duly signed by promoter.
- e. Copy of Single Window Clearance Committee approval letter if any.
- f. Land documents/ records
- g. Term loan sanction order, if any
- h. VAT registration copy
- i. Copy of partnership deed/ Memorandum and Articles of Association/ Bye-laws.
- j. List of plant and machineries and other equipments with their value required for implementation of the project

7.1.2 The documents to be furnished by the enterprises for availing entry tax and special entry tax exemption during operational phase are given below.

- a. Application in plain paper/ letter head
- b. Copy of the IEM acknowledgement Part I / II or industrial license
- c. Copy of First sale invoice
- d. Copy of the Project Report, duly signed by promoter.
- e. Copy of Single Window Clearance Committee approval letter if any.
- f. Land documents/ records
- g. Term loan sanction order and investment certificate by Banks, if any
- h. CA certificate in case of self financed enterprise as per **Annexure-9**
- i. Form of declaration regarding employment of local persons in **Annexure-8**.
- j. VAT Registration Certificate
- k. Copy of partnership deed/ Memorandum and Articles of Association/ Bye-laws
- l. Statement of details of annual requirement of raw materials/ inputs/ components and consumables for which entry tax exemption to be claimed.

The Joint Director, District Industries Centre after verification of the claim of the enterprise has to issue the entry tax exemption certificate to the enterprise in the prescribed proforma as in **Annexure-19 and 20** respectively in respect of MSMEs and the claims of large and mega projects have to be recommended to Directorate of I & C. The Joint Director (ID) after verification of the proposal shall issue the entry tax exemption certificate in the prescribed format as in **Annexure-19 and 20** respectively.

NOTE The Joint Director, District Industries Centre shall furnish quarterly statement indicating the details of cases issued with entry tax exemption certificates in the prescribed format as in **Annexure- 30**.

8.0 Incentives for Export Oriented Enterprises under 2009-14 policy.

Exemption from payment of Entry Tax

100% exemption from payment of Entry Tax on Plant and Machinery and Capital Goods for an initial period of 3 years from the date of commencement of project implementation is available to all 100% EOUs Manufacturing Micro, Small and Medium Enterprises and Large and Mega industries both for establishment of new enterprises or for Expansion, Diversification, and Modernization of existing industries, irrespective of zone (i.e. for both own/ financed enterprises)

For other manufacturing MSMEs, Large and Mega (both own/ financed) new or Expansion/ Modernisation/ Diversification EOUs, (minimum export obligation of 25% of their total turn over) 100% exemption is available from payment of Entry Tax on raw materials, inputs, components, parts and consumables (excluding petroleum products) for an initial period of 3 years from the date of commencement of commercial production in Zone -1, 2 and 3 and 50% in Zone-4.

8.1 Procedure for availing incentives for EOUs :

The eligible Micro, Small, Medium Enterprises, Large and Mega enterprises shall apply to the Joint Director, District Industries Centre along with the required documents.

The documents to be furnished by the 100% EOU enterprises for Entry tax exemption Certificate during project implementation are given below.

- a. Application in letter head/ plain paper
- b. Copy of the IEM acknowledgement Part I / II or industrial license
- c. Copy of 1st purchase order placed for machinery.
- d. Copy of 100% EOU Certificate from Government of India
- e. Copy of the Project Report, duly signed by promoter.
- f. List of plant and machineries and other equipments with their value, required for implementation of the project
- g. Copy of the partnership deed/ Memorandum and Articles of Association / Bye -Laws
- h. Copy of Single Window Clearance Committee approval letter if any.
- i. Land documents/ records
- j. Term loan sanction order, if any
- k. VAT registration copy

Note: The date of commencement of project means the 1st purchase order placed for purchase of machinery and equipment by the enterprise.

The documents to be furnished by the EOU enterprises for Entry tax exemption Certificate during project implementation are given below.

- a. Application in letter head/ plain paper
- b. Copy of the IEM acknowledgement Part I / II or industrial license
- c. Copy of first sale invoice
- d. Copy of the Project Report, duly signed by promoter.
- e. Copy of Single Window Clearance Committee approval letter if any.
- f. Land documents/ records
- g. Term loan sanction order and Export Performance Certificate by the financial institutions / RBI
- h. Export Performance Certificate from CA
- i. Form of declaration regarding employment to local persons

- j. VAT registration copy
- k. Copy of the partnership deed/ Memorandum and Articles of Association / Bye –Laws
- l. Statement of details of annual requirement of all inputs for which entry tax exemption to be claimed.

The Joint Director, District Industries Centre after verification of the claim of the enterprise has to issue entry tax exemption certificates to 100% EOUs and other EOU enterprises in the prescribed proforma, as in **Annexure 21 and 22** respectively, in respect of MSMEs. The claims of large and mega projects have to be recommended to Directorate of I & C. The Joint Director (ID) after verification of the proposal shall issue the entry tax exemption certificate in the prescribed format as in **Annexure-21 and 22**.

NOTE The Joint Director, District Industries Centre shall furnish quarterly statement indicating the details of cases issued with entry tax exemption certificates to 100% EOU and other EOUs in the prescribed format as in **Annexure-31**.

8.2 REFUND OF CERTIFICATION CHARGES

Refund of expenses incurred for compulsory marking certificates viz Conformity Europeenne (CE), & China Compulsory Certificate (CCC) is available to the extent of 50% of expenses subject to a maximum of Rs. 2 lakhs per enterprise both for 100% and other EOUs in all zones (i.e., for both own / financed new enterprises only including expansion/ modernisation/ diversification projects) The benefit for any other certificate will be approved separately by the SLCC.

The eligible Micro, Small, Medium Enterprises, Large and Mega enterprises shall apply to the Joint Director, District Industries Centre along with the required documents.

The documents to be furnished by the enterprise for claiming Refund of Certification Charges are given below:

- a. Application in letter head/ Plain paper
- b. Copy of the IEM acknowledgement Part II or industrial license
- c. Copy of First Export sale invoice
- d. Copy of the Project Report, duly signed by promoter.
- e. Copy of Single Window Clearance Committee approval letter if any.
- f. Copy of certificate of origin
- g. Copy of 100% EOU/ EOU Performance certificate
- h. Copy of compulsory marking certificate
- i. Copy of bills and receipts for the expenses incurred for getting the certificate.
- j. Orders monitoring the requirement of the compulsory marking.

8.2.1 Procedure for refund of certification charges.

A. Micro, Small and Medium Enterprises

The Joint Director, District Industries Centre after verification of the eligibility of the MSME's for refund of certification charges, has to seek the funds from the Head Office on quarterly basis from the Director, Department of Industries & Commerce, in the prescribed format as in **Annexure-32**.

On receipt of the funds from the Head Office, the Joint Director, District Industries Centre with the approval of Director shall Issue appropriate office order for reimbursement of certification charges. In the prescribed format as in **Annexure-23**.

B. Large and Mega Industries

The Joint Director, District Industries Centre after verification of the the Large and Mega Industries for refund of certification charges, has to recommend the proposal to the Director, Department of Industries & Commerce for refund.

The Joint Director (ID), Department of Industries & Commerce has to verify the eligibility of the enterprise for refund with budget allocation and with the approval of Director Issue appropriate office order for reimbursement In the prescribed format as in **Annexure-23**.

Note: This is onetime refund provision for MSME, large and Mega industries and it will be for only one certification per enterprise.

10. INVESTMENT SUBSIDY FOR SETTING UP OF ETPS UNDER 2009-14 POLICY

One time capital subsidy upto 50% of the cost of Effluent Treatment Plants (ETPs) is available to Manufacturing Micro, Small and Medium Enterprises and Service Enterprises as in **Annexure-2**, Manufacturing SEZ Enterprises, Large and Mega industries both for establishment of new enterprises or for expansion, diversification, and modernization of existing industries, subject to a ceiling of Rs. 100 lakhs per manufacturing enterprises in zone-1, 2 and 3 and a ceiling of Rs. 50 lakhs in zone-4 (i.e., for both own /financed enterprises).

10.1 GUIDELINES FOR SANCTION OF INVESTMENT SUBSIDY TO EFFLUENT TREATMENT PLANTS

- (a) For Micro, Small and Medium Enterprises, which have taken Capital Investment Subsidy under the 2009-14 policy or any other Policy on fixed assets including ETP, the subsidy on ETP investment should be deducted from the Capital subsidy of 50% of the cost of ETP. However, this condition does not applicable to industries in zone 4.

One time Capital Subsidy is available only to ETP and not for Sewage Treatment Plant (STP). For the purpose of calculation of investment on ETPs, ETP means both **air pollution control equipments and water pollution control equipments and its fixed assets**. The value of fixed assets investment of main unit shall be equal or more than the value of fixed capital investment of ETP.

(b) PROCEDURE FOR CLAIMING SUBSIDY FOR ETPs

Eligible Micro, small, medium enterprises and large and mega industries shall file an application in duplicate in the prescribed format along with the following enclosures to the Commissioner for Industrial Development and Director of Industries and Commerce. Documents to be furnished as below:

- a. Application in the prescribed format (**Annexure-25**)
- b. Detailed Project report and Plan of ETP approved by KSPCB.
- c. Copy of the term loan sanctioned letter from financial institution
- d. IEM / PMT / IL
- e. Partnership Deed / Memorandum and Articles of Association.
- f. Investment made on ETP certified by financial institution with all details for financial institution cases and C.A. certificate for all self financed cases.(as in **Annexure – 46** and **47** respectively)
- g. CFE & CFO issued by KSPCB
- h. Environment Clearance Certificate (ECC) issued by DFEE / MOEF, wherever applicable
- i. Form of declaration regarding employment of local persons in prescribed format. (As in **Annexure-8**)
- j. Environment Management Plan (EMP)
- k. Invoices, bills, voucher and receipts of the ETP.
- l. Compliance certificate to the consent condition from KSPCB and certification for investment on ETP.
- m. Chartered Engineers / Architects certificate (in **Annexure-48**)
- n. Statement of fixed assets (in **Annexure 26A & 26B**)

(c) COMMITTEE FOR SANCTION OF SUBSIDY FOR ETPs:

1	Commissioner for Industrial Development and Director of Industries and Commerce	Chairman
2	Member Secretary, KSPCB	Member
3	Director, Technical Cell, Department of Ecology and Environment Department.	Member
4	Director, Technical Cell, Commerce and Industries Department	Member
5	Joint Director (ID), Industries and Commerce Department	Member Secretary
6	Concerned Joint Director, District Industries Centre	Invitee

(d) SCRUTINY OF ETP SUBSIDY CLAIM:

The application for capital subsidy of ETP filed by the eligible industrial enterprises in the Directorate of Industries and Commerce, the Committee comprising of representative from KSPCB, Joint Director, concerned District Industries Centre's and Joint Director (ID) shall take up physical verification of the company / ETP on receipt of application and shall arrange to place the case before the committee with detailed report for sanction of subsidy to ETPs. After obtaining the approval from the Committee, the Joint Director (ID) shall issue sanction order as in **Annexure-35** subject to the company files the application with all relevant documents.

(e) RELEASE OF INVESTMENT SUBSIDY TO ETPS:

After the enterprise obtaining the sanction order shall execute an agreement as in **Annexure-41**. The Directorate of I & C, on obtaining funds from the Government, shall release the money to the enterprise's Bank Account.

12.0. ANCHOR UNIT SUBSIDY UNDER 2009-14 POLICY

Anchor Investment Subsidy of Rs.100.00 lakhs shall be available for the first two new manufacturing enterprises with minimum employment of 100 members and minimum investment of Rs.50.00 crores in each of the taluks coming up in Zone-1, 2 and 3. This subsidy will be applicable only in taluks where no manufacturing industrial enterprises with investment of Rs.50.00 crores and above exist at present.

12.1 Procedure to claim Anchor Unit Subsidy

- a. The eligible unit for sanction of Anchor Unit Subsidy shall file an application along with the required documents in duplicate in the same form followed for sanction of investment subsidy to the concerned Joint Directors, District Industries Centre. The concerned Joint Director shall verify the claims and after physical verification recommend the eligible proposal to the Director, Department of Industries and Commerce for sanction of Anchor Unit Subsidy. The Joint Director, District Industries Centre while recommending the proposal shall certify that the unit has invested more than Rs.50 Crores with minimum employment of 100 members and there are no such units in the taluk at present.
- b. The Directorate of I & C on receipt of the proposal from the Joint Director, District Industries Centre shall scrutinize and after random checkup, will place the subject before the Committee (constituted for sanction of investment subsidy for ETPs) for sanction of subsidy. After obtaining the approval from the Committee, the Joint Director (ID) shall issue the sanction order to the unit as in **Annexure-40**.

12.2 Release of sanctioned subsidy amount:

After the unit obtaining sanction orders, shall execute an agreement as in **Annexure-41**. The Directorate of I&C on obtaining funds from the Government, shall release the money to the unit's Bank account.

13.0 SPECIAL INCENTIVES FOR ENTERPRISES COMING UP IN LOW HDI DISTRICTS UNDER 2009-14 POLICY

New manufacturing large and mega enterprises setting up facilities in six districts having bottom most Human Development Index (HDI) and employing at least 75% local persons as defined in the Dr. Sarojini Mahishi recommendations will be offered 75% reimbursement of expenditure on account of contribution towards Employees State Insurance (ESI) and Employees Provident Fund (EPF) scheme for a period of initial five years from the date of commencement of commercial production.

However, these benefits over a period of five years will be limited to 25% of value of fixed capital investment. The amount of reimbursement will be paid annually based on minimum statutory limit subject to the condition that the enterprise has paid its contribution towards ESI & EPF promptly on the due dates. Following are the six districts having bottom most Human Development Index:

Sl.No.	District	HDI* (2001)
1	Bagalkot	0.591
2	Bijapur	0.589
3	Koppal	0.582
4	Chamarajanagar	0.576
5	Gulbarga	0.564
6	Raichur	0.547

13.1 Documents to be furnished for claiming Special incentives

Eligible industrial enterprises shall apply with following documents to the District Industries Centre.

- a. Application in letterhead.
- b. Project report
- c. Details of ESI/EPF contribution paid as in **Annexure-42**
- d. SLWCC / SHLCC approval.
- e. Statement of Fixed Assets in prescribed format, duly certified by the concerned financial institution or C.A. as in **Annexure-6 or 9**
- f. Copy of First sale invoice
- g. Copy of EM certificate.
- h. Form of declaration regarding employment of local persons in prescribed format as in **Annexure-8**.
- i. Copies of registered partnership deed and Memorandum of Articles of Association in case of private/public limited companies, byelaws in respect of co-operative institution.
- j. Copies of the ESI & EPF payments with receipt
- k. List of all the employees indicating their date of joining into service, their basic pay, designation, caste as to whether they are local or outsider.

13.2 Scrutiny of claim

The Joint Director, District Industries Centre on receipt of application shall inspect the enterprise and verify the working condition of the enterprise and also the genuineness of the employment provided to local people with reference to their documents and then forward the proposal to the Directorate of I&C, for allotment of funds for the admissible EPF & ESI once in a year. The Joint Director (ID) shall verify the claim and with the availability of funds from the Government and with the approval of the Director of I&C, will allot the funds to DICs. In turn Joint Director, District Industries Centre will issue the release orders to the eligible enterprises. The eligible amount shall be disbursed on payees receipt, duly countersigned by the Joint Director, District Industries Centre. Separate registers should be maintained by both the Head Office and the District Industries Centre office to watch the total period and amount of reimbursement to each enterprise.

14.0 INTEREST SUBSIDY TO MICRO ENTERPRISES UNDER 2009-14 POLICY

Interest subsidy at 5% on term loans shall be available only to micro manufacturing enterprises who avail term loan from bank/financial institutions subject to prompt repayment of the loan installments. The interest subsidy is payable only on the interest actually paid to financial institutions and not defaulted in payment of principle or interest installments. The amount of interest subsidy will be effective rate of interest (after deducting interest subsidy receivable by any institutions/ under any Government of India scheme) or 5% per annum which ever is less.

The period of interest subsidy is 5 years, 4 years and 3 years in Zone-1, Zone-2 and Zone-3 respectively. Interest subsidy shall be available from the date of 1st loan release, however the enterprises has to claim the benefit only after commencement of commercial production.

14.1 Documents to be furnished for claiming Interest Subsidy:

- a. Application on letterhead/plain paper
- b. EM Part – II
- c. Bank/financial institution term loan sanction letter.
- d. Investment Certificate in the prescribed form from bank/ financial institution – as in **Annexure-6**.
- e. Copy of First Sale Invoice.
- f. Certification from the bank for claiming interest subsidy in the prescribed proforma- as in **Annexure-43**
- g. Fixed assets investments details as in **Annexure-5**.

14.2 Scrutiny of claim

The Joint Director/ Deputy Director/ Assistant Director, District Industries Centre on receipt of completed application should inspect the enterprise and verify the working condition of the enterprise and then place it before the DLC for sanction of investment subsidy on term loan once in a year. The Joint Director, District Industries Centre shall seek funds from Head Office on yearly basis, furnishing the details of the enterprises to which the interest subsidy is sanctioned. On obtaining the funds from Head office, the eligible amount shall be disbursed on payees receipt, duly countersigned by the Joint Director. The interest subsidy amount may also be released to the financial institution or bank, if there is any power of attorney to that effect or otherwise directly to the enterprise. Separate registers should be maintained by both the Head Office and the District Industries Centre office to watch the total period and amount of subsidy granted and availed by each enterprise.

16. INCENTIVES FOR TECHNOLOGY UPGRADATION, QUALITY CERTIFICATION AND PATENT REGISTRATION UNDER 2009-14 POLICY

16.1 Interest Subsidy on TU Loan:

The New or the existing Micro and Small Manufacturing Enterprises which undertakes Expansion/ Modernisation/ Diversification programme, which have availed loan from KSFC/KSIIDC/Scheduled Commercial Banks, which are not covered under CLCSS of GOI, are eligible to Claim an interest subsidy of 5% on Technology Upgradation loans. The interest subsidy is payable only on the interest actually paid to the financial institutions and not defaulted in payment of principal or interest.

The period of Interest subsidy is 5 years, 4 years and 3 years in Zone-1, Zone-2 and Zone-3 respectively.

Interest subsidy shall be available from the date of 1st loan release, however the enterprises have to claim the benefit only after commencement of commercial production.

Documents to be furnished for claiming interest Subsidy on TU Loan:

- i. Application on letterhead/plain paper.
- ii. EM Part –II
- iii. Bank/financial institution term loan sanction letter.

- iv. Investment Certificate in the prescribed form from bank/financial institution-as in **Annexure-6**.
- v. Copy of First Sale Invoice.
- vi. Certification from the bank for claiming interest subsidy in the prescribed Performa- as in **Annexure-43**.
- vii. Fixed assets investments details as in **Annexure-5**.

The Eligible Micro and Small Enterprises shall file the application along with the documents mentioned above to the respective Joint Director, District Industries Centre OR KCTU, Bangalore.

16.1.1 Role of the Joint Director, District Industries Centre:

The Joint Directors, District Industries Centres on receipt of the complete application shall inspect the enterprise and verify the working condition of the enterprise. After confirming the existence of the enterprise, the Joint Director shall recommend the applications to KCTU for sanction of interest subsidy.

16.1.2 Role of KCTU:

The Complete application received by the KCTU directly will be sent to respect District Industries Centres for the verification of the working condition of the enterprise. The Applications, which have been recommended by the Joint Directors, will be placed for the approval of the Sanctioning Committee under the chairmanship of Commissioner for Industrial Development and Director of Industries and Commerce.

The Managing Director, KCTU will issue the Sanction Orders based on the Committee decisions. The Managing Director, KCTU will release the Subsidy based on the availability of the funds.

16.1.3 The Committee for sanction of Subsidy:

Sl.No.	Designation	Role
1	Commissioner for Industrial Development and Director of Industries and Commerce	Chairman
2	Additional Director (SSI)	Member
3	Joint Director (ID)	Member
4	KSFC Representative	Member
5	Managing Director, KCTU	Member Secretary

16.2 Incentives to Micro and Small Enterprises for obtaining ISO Certification:

The New or the existing Micro and Small Manufacturing Enterprises which undertakes Expansion/ Modernisation/ Diversification programme (Both own and financed enterprises) have emerged as dynamic and vibrant sector of Indian Economy and it has been making significant contribution to industrial production, export and employment generation. The process of economic liberalization and market reforms has opened up the Indian Micro and Small Enterprises to the global competition. In order to enhance the competitive strength of the Micro and Small Enterprises, the Government introduced an incentive scheme for their quality improvement and environment management. The scheme provides incentive to those micro and small enterprises that have acquired ISO 9000/ISO 14001/HACCP Hazzard analysis and critical control point, ISO 22000/(GHP) Good Hygenic Practices/ (GMP) Good Manufacturing Practices/(NABL) National Accredition Board of Testing & Callibration Laboratory/(STQC) Standardisation Testing & Quality Certification/FPO (Fruit Production Order Certifications. The scheme for reimbursement of ISO series Certification Charges in operation since 1998 has now been enlarged so as to include reimbursement of expenses for acquiring ISO 14001 certification also.

16.2.1 The Salient features of the Scheme:

The Scheme envisages reimbursement of charges of acquiring ISO Series Certifications to the extent of 75% of the expenditure incurred by the MSEs towards the payment of Accreditation fee, Consultation fee, Training and Calibration charges (till the unit get the certificate) subject to a maximum of Rs. 75,000/- in each case.

The incentive is extended to Micro and Small Manufacturing Enterprises in all the zones in the state.

It is a Government of Karnataka Scheme administered by Karnataka Council for Technological Upgradation.

The Scheme shall provide one time reimbursement only. The amount of incentive/subsidy/grant already availed for acquiring ISO Series Certification under any Central Govt. (including DC, MSME Incentive Scheme)/State Govt. /Financial Institution shall be adjusted against the entitlement of reimbursement. It means that the total entitlement of reimbursement of acquiring one or more than one certification shall be up to the maximum limit of Rs. 75,000/- only. In case a unit has received reimbursement/subsidy/grant from Central Govt./State Govt./Financial Institution against any one of the certifications for an amount less than maximum limit of Rs. 75,000/-, the unit shall be eligible to receive the balance amount only.

Eligible Micro and Small Enterprises shall file the application in the prescribed format (**Annexure-50**) along with the documents mentioned in the format to the respective Joint Director, District Industries Centre OR KCTU, Bangalore.

16.2.2 Role of the Joint Director, District Industries Centre:

The Joint Directors, District Industries Centres on receipt of the complete application shall inspect the enterprise and verify the working condition of the enterprise. After confirming the existence of the enterprise, the Joint Director shall recommend the applications to KCTU for sanction of incentive for reimbursement of charges towards acquiring ISO Certification.

16.2.3 Role of KCTU:

The Complete application received by the KCTU directly will be sent to respect District Industries Centres for the verification of the working condition of the enterprise. The Applications, which have been recommended by the Joint Directors, will be placed for the approval of the Sanctioning Committee under the chairmanship of Commissioner for Industrial Development and Director of Industries and Commerce.

The Managing Director, KCTU will issue the Sanction Orders based on the Committee decisions. The Managing Director, KCTU will release the Subsidy based on the availability of the funds.

16.2.4 The Committee for sanction of Incentive:

Sl.No.	Designation	Role
1	Commissioner for Industrial Development and Director of Industries and Commerce	Chairman
2	Additional Director (SSI)	Member
3	Joint Director (ID)	Member
4	The Director, BIS, Branch Office, Blore.	Member
5	Managing Director, KCTU	Member Secretary

16.3 Incentives to Micro and Small Enterprises obtain BIS Product Certification:

Based on Karnataka Industrial Policy 2009-14, KCTU is providing incentive to MSEs obtains BIS Product Certification. The monetary benefit will be as under.

Schemes envisages reimbursement of 50% of fees payable to BIS up to a maximum of Rs. 20,000/- and 25% of the cost (for purchase of testing equipments as approved by BIS, consultancy, Training and calibration charges) subject to a maximum of Rs. 50,000/- per unit and

The incentive is extended to The New or the existing Micro and Small Manufacturing Enterprises which undertakes Expansion/ Modernisation/ Diversification programme (Both own and financed enterprises) in all the zones in the state.

The Eligible Micro and Small Enterprises shall file the application in the prescribed format (**Annexure-50**) along with the documents mentioned in the format to the respective Joint Director, District Industries Centre OR KCTU, Bangalore.

16.3.1 Role of the Joint Director, District Industries Centre:

The Joint Directors, District Industries Centres on receipt of the complete application shall inspect and verify the working condition of the enterprise. After confirming the existence of the enterprise, the Joint Director shall recommend the applications to KCTU for sanction of incentive for reimbursement of charges towards acquiring BIS Certification.

16.3.2 Role of KCTU:

The Complete application received by the KCTU directly will be sent to respective District Industries Centres for the verification of the working condition of the enterprise. The Applications, which have been recommended by the Joint Directors, will be placed for the approval of the Sanctioning Committee under the chairmanship of Commissioner for Industrial Development and Director of Industries and Commerce.

The Managing Director, KCTU will issue the Sanction Orders based on the Committee decisions. The Managing Director, KCTU will release the Subsidy based on the availability of the funds.

16.3.3 The Committee for sanction of Incentive:

Sl.No.	Designation	Role
1	Commissioner for Industrial Development and Director of Industries and Commerce	Chairman
2	Additional Director (SSI)	Member
3	Joint Director (ID)	Member
4	The Director, BIS, Branch Office, -Blore.	Member
5	Managing Director, KCTU	Member Secretary

16.4 Incentive for Patent Registration:

An individual or an Organisation can make an invention. The invention means a new product or process involving an inventive step and capable of industrial application. A new invention means any invention or technology which has not been anticipate by publication in any document or used in the country or elsewhere in the world before the date of filing of Patent Application with complete specification. Patent Registration is meant for the protection of the rights of the inventor over his invention. The patent registration involves a long process of 4-6 years. The process involves various stages viz., Provisional Specification Filing, Priority Art Search, Complete Specification Filing, Request for Examination, Pre-Grant Opposition and Registration.

Government of Karnataka has decided to encourage the Patents Registration as avowed in the Karnataka Industrial Policy 2009-14. The Policy stated that an incentive of 75% of fees payable to Patent Office in India and WTO member countries up to a maximum of Rs.1.25 lakhs and 50% of the Cost (expenditure towards attorney fees, patent search etc.) up to a maximum of Rs. 75,000/-

The incentive is extended to The New or the existing Micro and Small Manufacturing Enterprises which undertakes Expansion/ Modernisation/ Diversification programme (Both own and financed enterprises) in all the zones in the state.

The Eligible Micro and Small Enterprises shall file the application in the prescribed format (**Annexure-51**) along with the documents mentioned in the format to the respective Joint Director, District Industries Centre OR KCTU, Bangalore.

16.4.1 Role of the Joint Director, District Industries Centre:

The Joint Directors, District Industries Centres on receipt of the complete application shall inspect and verify the working condition of the enterprise. After confirming the existence of the enterprise, the Joint Director shall recommend the applications to KCTU for sanction of incentive for reimbursement of charges towards Patent Registration.

16.4.2 Role of KCTU:

The Complete application received by the KCTU directly will be sent to respective District Industries Centres for the verification of the working condition of the enterprise. The Applications, which have been recommended by the Joint Directors, will be placed for the approval of the Sanctioning Committee under the chairmanship of Commissioner for Industrial Development and Director of Industries and Commerce.

The Managing Director, KCTU will issue the Sanction Orders based on the Committee decisions. The Managing Director, KCTU will release the Subsidy based on the availability of the funds.

16.4.3 The Committee for sanction of Incentive:

Sl.No.	Designation	Role
1	Commissioner for Industrial Development and Director of Industries and Commerce	Chairman
2	Additional Director (SSI)	Member
3	Joint Director (ID)	Member
4	The Regional Manager, NRDC, B'lore.	Member
5	Managing Director, KCTU	Member Secretary

16.5 Incentive for Technology Transfer:

The objective of technology Upgradation will be to facilitate an enterprise aimed at meeting the technology needs on a demand driven basis. The following specific scheme will be implemented by KCTU:

Technology transfer & promotion services look into the entire gamut of activities of matching the technology needs with the available indigenous technologies and scout for foreign sources if required.

The silent features of the scheme are:

- i) An incentive of 25% of the cost up to a maximum of Rs 50,000/- for adopting technology from National Laboratories & technology certificate by National Laboratories also.
- ii) The incentive is extended to The New or the existing Micro and Small Manufacturing Enterprises which undertakes Expansion/ Modernisation/ Diversification programme (Both own and financed enterprises) in all the zones in the state.

The Eligible Micro and Small Enterprises shall file the application in the prescribed format **(Annexure-52)** along with the documents mentioned in the format to the respective Joint Director, District Industries Centre OR KCTU, Bangalore.

16.5.1 Role of the Joint Director, District Industries Centre:

The Joint Director, District Industries Centre on receipt of the complete application shall inspect and verify the working condition of the enterprise. After confirming the existence of the enterprise and after confirming that the enterprise has successfully implemented the new technology, the Joint Director shall recommend the applications to KCTU for sanction of incentive for reimbursement of charges towards Technology adoption.

16.5.2 Role of KCTU:

The Complete application received by the KCTU directly will be sent to respective District Industries Centres for the verification of the working condition of the enterprise. The Applications, which have been recommended by the Joint Directors, will be placed for the approval of the Sanctioning Committee under the chairmanship of Commissioner for Industrial Development and Director of Industries and Commerce.

The Managing Director, KCTU will issue the Sanction Orders based on the Committee decisions. The Managing Director, KCTU will release the Subsidy based on the availability of the funds.

16.5.3 The Committee for sanction of Incentive:

Sl.No.	Designation	Role
1	Commissioner for Industrial Development and Director of Industries and Commerce	Chairman
2	Additional Director (SSI)	Member
3	Joint Director (ID)	Member
4	The Regional Manager, NRDC, B'lore.	Member
5	Managing Director, KCTU	Member Secretary

16.6 Assistance for establishment of Technology Business Incubator:

In order to strengthen the manufacturing sector, particularly small and medium enterprises and to enable them compete better under the pressure caused by liberalization and moderation, a new scheme is envisaged in the Karnataka Industrial Policy 2009-14 to increase their competitiveness.

Promoting Technology Business Incubators/Accelerators with the active involvement of private sector in identified potential locations in the State. This Technology Business Incubator will aim to build on the strengths of small decentralised Technology Development Groups and are expected to spawn high value MSEs. Government assistance will be in the form of providing financial assistance for creation of basic infrastructure facilities of 25% of the Project Cost subject to a ceiling of Rs.50 lakhs per incubator.

The main objective of the scheme is to promote emerging technological and knowledge-based innovative ventures that seek the nurturing of ideas from professionals beyond the traditional activities of Micro, Small & Medium Enterprises (MSMEs). Such entrepreneurial ideas have to be fostered and developed in a supportive environment before they become attractive for venture capital. Hence the need arises for incubation centres: to promote and support untapped creativity of individual innovators and to assist them to become technology based entrepreneurs. It also seeks to promote networking and forging of linkages with other constituents of the innovation chain for commercialization of their developments.

16.6.1 Implementing Agencies:

The Scheme will be implemented by Host Institutions, like:

- i Indian Institutes of Technology (IITs)
- ii National Institutes of Technology (NITs)
- iii Engineering Colleges
- iv Technology Development Centres, Tool Rooms, etc
- v Other recognised R&D&/or Technical Institutes/Centres etc.
- vi Recognized Industrial Associations and NGO's

16.6.2 Means of Finance:

The Government of Karnataka will provide 25% of the Project Cost subject to a maximum of Rs.50.00 lakhs. To ensure the participation of the implementing agencies in a PPP mode. The remaining cost may be mobilised from the Government of India / Financial Institutions etc.

16.6.3 Role of KCTU:

The Complete application received by the KCTU will be sent to respective District Industries Centres for the Appraisal Report of the Project. The Applications, which have been recommended by the Joint Directors, will be placed for the approval of the Government.

The State Level Sanctioning Committee will sanction projects and the funds are released through KCTU.

The composition of the State level Committee is as under

Sl.No.	Designation	Role
1	Commissioner for Industrial Development and Director of Industries and Commerce	Chairman
2	Additional Director (SSI)	Member
3	Joint Director (ID)	Member
4	Managing Director, KCTU	Member Secretary

16.6.4 Mode of Finance Releases

Subject to availability of funds and based on the progress made by the agency, the KCTU with the approval of Commissioner for ID and Director of Industries and Commerce will be released in installments.

16.6.5 Monitoring & Evaluation:

KCTU, Bangalore is a monitoring agency on behalf of Government of Karnataka. The funds are released through KCTU based on utilization. The project would be monitored and guided by the KCTU. Emphasis needs to be given to ensure continuation of the scheme through documentation in monitoring the implementation.

17. INCENTIVES FOR WATER HARVESTING / CONSERVATION MEASURES UNDER 2009-14 POLICY (both existing and new)

Basically, these are methods from which any combination can be selected based on the site conditions such as the extent of building, sub-soil conditions, water availability and rainfall pattern.

Rainwater harvesting is a method of augmenting rainwater, storing and using. Rainwater harvesting assures a more continuous and reliable access to water. This method is also environmental friendly.

Broadly Rainwater can be harvested in two ways. 1. Rooftop Rainwater Harvesting and 2. Open surface run-off harvesting.

Water conservation will result in recapturing the base line for current water usage and reduction in wastage of ground water or supply from water sources. Industry should adopt strategies to use sewage discharge, industrial discharge, storm water recycling to efficiently and adopt systems for environmental, health and pollution risks and also reduce stress on water pollution.

The Zero Discharge Process means no discharge through any media out of the factory premises. To achieve pollution prevention & Waste minimization at manufacturing enterprises, the incentive is extended to New or the existing Micro and Small Manufacturing Enterprises which undertakes Expansion/ Modernisation/ Diversification programme (Both own and financed enterprises) in all Zones.

The Industrial areas in the State are facing problems of acute shortage of water supply. This has hampered the Industrial production. Therefore, Karnataka Industrial Policy 2009-14 has incorporated an incentive to small and medium manufacturing enterprises for adopting water harvesting / conservation measures within their premises.

The Components of the Scheme are as follows:

1. Incentive of 50% of the cost of Rainwater Harvesting subject to a maximum of Rs.1.00 lakh.
2. Incentive of 50% of the cost of Waste Water Recycling subject to a maximum of Rs.5.00 lakhs.
3. Incentive of 50% of cost of Zero Discharge Process subject to a maximum of Rs.5.00 lakhs.

The Eligible Small and Medium Enterprises shall file the application in the prescribed format (**Annexure-53**) along with the documents mentioned in the format to the respective Joint Director, District Industries Centre OR KCTU, Bangalore.

17.1 Role of the Joint Director, District Industries Centre:

The Joint Director, District Industries Centre on receipt of the complete application shall inspect and verify the working condition of the enterprise. After confirming the existence of the enterprise, the Joint Director shall recommend the applications to KCTU for sanction of incentive.

17.2 Role of KCTU:

The Complete application received by the KCTU directly will be sent to respective District Industries Centres for the verification of the working condition of the enterprise. The Applications, which have been recommended by the Joint Directors, will be placed for the approval of the Sanctioning Committee under the chairmanship of Commissioner for Industrial Development and Director of Industries and Commerce.

The Managing Director, KCTU will issue the Sanction Orders based on the Committee decisions. The Managing Director, KCTU will release the Subsidy based on the availability of the funds.

17.3 The Committee for sanction of Incentive:

Sl.No.	Designation	Role
1	Commissioner for Industrial Development and Director of Industries and Commerce	Chairman
2	Additional Director (SSI)	Member
3	Joint Director (ID)	Member
4	Representative from Water Supply, Rural Development, B'lore.	Member
5	Managing Director, KCTU	Member Secretary

18. INCENTIVE FOR ENERGY CONSERVATION UNDER 2009-14 POLICY:

Energy is an essential input for Industrial development. As the recourse for production of energy is limited conservation of energy, use of non-conventional, new and renewable sources of energy such as solar, wind and bio-energy, etc., are getting sustained attention.

The non-conventional energy sources cover the entire gamut of technologies, including biogas plants, biomass gasifiers, solar thermal and solar photovoltaic systems, wind mills, co-generation, small hydro plants, energy recovery from industrial wastes, geothermal energy, ocean thermal energy, wave energy, tidal energy, hydrogen energy, electric vehicles.

The Energy conservation measures include the energy audit, adoption of new energy saving equipments, technology, cooling towers etc.

Government of Karnataka in its Karnataka Industrial Policy 2009-14 has encourage by way of offering incentives and concessions practicing Energy Conservation Methods and using non-conventional energy sources.

The salient features:

1. Incentive of 10 per cent of the Capital Cost up to a maximum of Rs.5.00 lakhs will be provided for practicing energy conservation measures resulting in reduction of energy consumption of at least 10% of earlier consumption.
2. Incentive of 10 per cent of the Capital Cost up to a maximum of Rs.5.00 lakhs will be provided for using non-conventional energy sources for its requirements.
3. The Incentives is extended to small and medium manufacturing enterprises in all the Zones.

The Eligible New or the existing Micro and Small Manufacturing Enterprises which undertakes Expansion/ Modernisation/ Diversification programme (Both own and financed enterprises) shall file the application in the prescribed format (**Annexure-54**) along with the documents mentioned in the format to the respective Joint Director, District Industries Centre OR KCTU, Bangalore.

18.1 Role of the Joint Director, District Industries Centre:

The Joint Director, District Industries Centre on receipt of the complete application shall inspect and verify the working condition of the enterprise. After confirming the existence of the enterprise and successful implementation of the Scheme, the Joint Director shall recommend the applications to KCTU for sanction of incentive.

18.2 Role of KCTU:

The Complete application received by the KCTU directly will be sent to respective District Industries Centres for the verification of the working condition of the enterprise. The Applications, which have been recommended by the Joint Directors, will be placed for the approval of the Sanctioning Committee under the chairmanship of Commissioner for Industrial Development and Director of Industries and Commerce.

The Managing Director, KCTU will issue the Sanction Orders based on the Committee decisions. The Managing Director, KCTU will release the Subsidy based on the availability of the funds.

18.3 The Committee for sanction of Incentive:

Sl.No.	Designation	Role
1	Commissioner for Industrial Development and Director of Industries and Commerce	Chairman
2	Additional Director (SSI)	Member
3	Joint Director (ID)	Member
4	The General Manager, KREDL, B'lore.	Member
5	Managing Director, KCTU	Member Secretary

19. ADDITIONAL INCENTIVES TO THE MEDIUM, LARGE AND MEGA ENTERPRISES FOLLOWING RESERVATION POLICY OF THE STATE UNDER 2009-14 POLICY

50% reimbursement of expenditure incurred for employees coming under reserved category towards contribution to ESI and EPF Scheme shall be available to new medium, large and mega manufacturing enterprises in all zones employing more than 100 persons for a initial period of 5 years from the date of commencement of production.

19.1 Documents to be furnished for claiming additional incentives for following reservation policy:

Eligible industrial enterprises shall apply with following documents to the District Industries Centre.

- a. Application in letterhead.
- b. Project report
- c. Details of ESI/EPF contribution paid as in **Annexure-45**
- d. SLWCC / SHLCC approval.
- e. Statement of Fixed Assets in prescribed format, duly certified by the concerned financial institution or C.A. as in **Annexure-5 or 9**
- f. Copy of First sale invoice
- g. Copy of EM certificate.
- h. Form of declaration regarding employment of local persons in prescribed format as in **Annexure-8**.
- i. Copies of registered partnership deed / Memorandum and Articles of Association/ byelaws
- j. Copies of the caste certificates issued by the concerned authority for employees coming under reserved category as per the reservation policy of the State.
- k. Copies of the ESI & EPF payments with receipt

19.2 Scrutiny of claim

The Joint Director, District Industries Centre on receipt of completed application should inspect the enterprise and verify the working condition of the enterprise and also the genuineness of the employment provided to local people and particularly to SC/ST people with reference to their documents and then forward the proposal to the Director of Industries and Commerce Department for allotment of funds for the admissible EPF & ESI once in a year. The Joint Director (ID) shall verify the claim and with the availability of the funds from the Government and with the approval of the Commissioner I D will allot the funds to DICs. The Joint Director, District Industries Centre in turn will issue the release orders to the eligible enterprises. The eligible amount shall be disbursed on payees receipt, duly countersigned by the Joint Director. Separate registers should be maintained by both the Head Office and the District Industries Centre office to watch the total period and amount of reimbursement to each enterprise.

20. REFUND OF COST INCURRED FOR PREPARATION OF PROJECT REPORTS UNDER 2009-14 POLICY :

The cost incurred for preparation of project reports by TECSOK /CEDOK/ KSFC or any other recognized institutions for availing term loans shall be reimbursed to new micro and small manufacturing enterprises to the maximum of Rs. 10,000/ enterprise subject to financing of the enterprise. The eligible enterprises can claim for reimbursement only after the commencement of commercial production.

20.1 Documents to be furnished for claiming reimbursement:

Eligible industrial enterprises shall apply with following documents to the District Industries Centre.

- a. Application in letterhead.
- b. Copy of Project report prepared by TECSOK/CEDOK/KSFC or any other recognized institutions.
- c. Statement of Fixed Assets in prescribed format as in **Annexure-5**.
- d. Copy of term loan sanction orders from KSFC/KSIIDC/Bank/Other financial institution.
- e. Copy of First sale invoice
- f. Copy of EM certificate.
- g. Form of declaration regarding employment of local persons in prescribed format.
- h. Copy of the bill and receipt for having paid fees /charges to the consulting agency.

20.2 Scrutiny

The Joint Director, District Industries Centre on receipt of completed application should inspect the enterprise and verify the proper working of the enterprise and then forward the proposal in the prescribed format **Annexure -49** to the Director of Industries and Commerce Department for allotment of funds. The Joint Director, District Industries Centres on receipt of the funds from Head Office, shall issue release orders. The eligible amount shall be disbursed on payees receipt, duly countersigned by the Joint Director, District Industries Centres. Separate registers should be maintained by both the Head Office and the District Industries Centre office to watch the amount of reimbursement.

21. SUPPORT TO SUGAR SECTOR UNDER 2009-14 POLICY :

New Sugar factories and existing sugar factories who have not availed purchase tax deferment having cogeneration facilities and ethanol production would be considered for conversion of purchase tax on sugar cane as interest free loan on case to case basis, depending on the financial position of the factory.

In case of existing sugar factories, which establish co-generation plants, ethanol plant, such investment will be treated as expansion for availing incentives and concessions as per this policy but limited to the investment made on such additional projects.

The eligible sugar factory will have to submit their proposal to Joint Director, District Industries Centre concerned. The Joint Director, District Industries Centre concerned shall inspect the enterprise and verify the claim of the enterprise with reference to the documents furnished by them and forward the claim to Head Office along with the investigation report.

The Joint Director (ID) in the Head Office shall verify the claim and all proposals pertaining to interest free loan against the purchase tax will be referred to Government in Commerce and Industries Department. The Commerce and Industries Department with the concurrence of the Finance Department will issue to necessary orders in this regard.

Further, with regards to extension of other benefits, the Joint Director (ID) will follow the guidelines already given for each of the benefit and issue necessary certificate with the approval of the Commissioner for ID.

22. Clarification for entitlement of various benefits under two Industrial Policies i.e 2006-11 & 2009-14 Industrial Policies.

In the Industrial Policy 2009-14, vide **Annexure-5** Item No. II – O, it is indicated that the units shall avail incentives and concessions under one Industrial Policy only unless otherwise specified. In most of the cases, it is seen that enterprises avail certain benefits like NA conversion fine exemption, stamp duty exemption on lease cum sale deed for the implementation of their project under one Industrial Policy and the other benefits like entry tax exemption for operational period, investment capital subsidy etc. in the subsequent policies because of the reason that the implementation of the project takes 3-5 years even more in some of the cases. If the above clause is strictly imposed, the majority of the cases will lose the benefit under 2009-14 policy. Therefore it is clarified that any enterprise in the initial stages of implementation of their project, if at all obtained NA conversion fine exemption, Stamp Duty Exemption and concessional registration charges benefit for registering the lease cum sale deed of plots allotted by KSSIDC/KIADB under 2006-11 policy are also entitled for availing other benefits under 2009-14 policy provided they satisfy the following conditions.

- a) The sanction and first release of the loan should be after 1.4.2009.
- b) Orders for supply of machinery should have been placed only after 1.4.2009
- c) Date of commercial production shall be during operational period of 2009-14 policy.
- d) More than 50% of the building should have been completed during 2009-14 policy (in case of self finance units)

ANNEXURE – 1**Classification of Taluks in Karnataka into Zones for the purpose of administering Incentives & Concessions under 2009-14 policy**

Sl. No.	Districts	Total No. of Taluks	Zone - 1 (Most Backward Taluks)	Zone - 2 (More Backward Taluks)	Zone - 3 (Backward Taluk)	Zone - 4 (Industrially Developed Taluks)
South Karnataka Region						
1	B'lore (U)	4	-	-		Anekal B'lore (North) B'lore(South) B'lore(East)
2	B'lore (R)	4		-		Devanahalli D B Pur Hoskote Nelamangala
3	Ramanagara	4	Kanakapura Magadi			Ramanagara Channapatna
4	Chitradurga	6	Hosadurga	Hiriyur Molakalmur Holokere Challakere	Chitradurga	
5	Davanagere	6	Channagiri	Honnali Harapanahalli	Davangere Jagalur	Harihar
6	Chikka- ballapura	6	Bagepalli	Gudibande Gowribidanur	Chikka ballapura Siddlaghatta Chintamani	
7	Kolar	5		Mulbagal	Kolar Bangarpet Srinivasapura Malur	
8	Shimoga	7	-	Soraba	Shimoga Bhadravathi Sagar Shikaripura Hosanagara Thirthahalli	
9	Tumkur	10	Kunigal Madugiri Gubbi Sira Pavagada	Turuvekere Koratagere Chikkanaya- kaanahalli	Tumkur Tiptur	
10	Chamaraja- nagar	4	Chamaraja- nagar	Gundlupet Kollegal	Yelandur	
11	Chikka - magalore	7	-	Kadur	Chikka - magalore Tarikere Shringeri Mudigere Koppa N R Pura	

12	D Kannada	5	-	-	Bantwal Mangalore (excl. Corpn. limits)	Mangalore (only Corporation limits) Puttur Sulya Belthangadi
13	Hassan	8	-	Arakalgud	Hassan Arasikere C R Patna H N Pura Belur Alur Sakleshpura	
14	Kodagu	3	-	-	Madikeri Somwarpet Virajpet	
15	Mandya	7	-	Malavalli Nagamangala K R Pet	Mandya Maddur Srirangapatna Pandavapura	
16	Mysore	7	H D Kote	Hunsur T N Pura Nanjangud	Periapatna Mysore (excl. Corpn. limits) K R Nagara	Mysore (only Corporation limits)
17	Udupi	3	-	-	Udupi Kundapura Karkala	
North Karnataka Region						
18	Bellary	7	Sandur Kudligi	Siraguppa H B Halli Hadagalli	Bellary Hospet	
19	Bidar	5	Bhalki Humnabad B Kalyana Aurad	-	Bidar	
20	Gulbarga	10	Sedam Shourapur Yadgir Chitapur Afzalpur Shahapur Aland Chincholi Jewargi	-	Gulbarga	
21	Koppal	4	Kushtagi Yelburga	Koppal	Gangavathi	
22	Raichur	5	Sindhanur Manvi Lingasugur Devadurga	Raichur		

23	Bagalkote	6	Bilagi	Hunagund Badami	Bagalkote Mudhol Jamkhandi	
24	Belgaum	10	-	Athani Gokak Soundatti	Belgaum Khanapur Hukkeri Ramdurga Bailhongal Chikkodi Raibag	
25	Bijapur	5	Muddebihal B Bagewadi Indi Sindagi	-	Bijapur	
26	Dharwad	5	-	Kalghatagi	Dharwad Hubli Kundagol Navalgund	
27	Gadag	5	-	Mundargi	Gadag Naragund Ron Shirahatti	
28	Haveri	7	-	Savanur Shiggaon Hirekerur	Haveri Ranebennur Byadagi Hanagal	
29	U Kannada	11	-	Supa Bhatkal	Karwar Haliyal Sirsi Mundagod Yellapura Honnavar Ankola Siddapura Kumta	
	TOTAL	176	39	40	85	12

ANNEXURE-2

List of Service Enterprises eligible for package of Incentives and Concession under 2009-14 policy

1. Logistics facilities supporting to industries only (defined separately)
2. Material Testing Centre
3. R & D Centres
4. Technical testing and analysis servicing
5. Maintenance and repair of equipment
6. Packaging services
7. Refuse disposal services
8. Tailoring
9. Flour mills
10. Printing
11. General engineering, fabrication, motor winding, automobile servicing and repairs, electro plating, industrial paintings, etc., engaged in job work
12. Weigh bridges and health care facility set up within the KIADB /KSSIDC industrial areas

State Level Co-ordination Committee is empowered to add / delete service activities listed in this Annexure.

ANNEXURE – 3

**List of Industrial Activities / Enterprises not eligible for
Incentives and Concessions under 2009-14 policy**

- 1 Breweries & Distilleries of all types excluding winery
- 2 Enterprises utilizing molasses / rectified spirit / denatured spirit as main raw material for manufacture of potable alcohol
- 3 Khandasari and Jaggary making enterprises
- 4 Photo Studios & Color Processing Enterprises
- 5 Photo Copying & Xerox Machines
- 6 Fertilizer Mixing
- 7 Re-packing of Drugs / Medicines / Chemicals, without any processing or value addition
- 8 All types of Saw Mills
- 9 Beedies / Cigarettes / Cigars / Gutka & Tobacco based products
- 10 Azoic / Reactive Dyes
- 11 Fire Crackers
- 12 Industries manufacturing and or utilizing Ozone depleting substances
- 13 Power Laundries
- 14 Brick making Enterprises Excluding Cement Hollow Blocks, wire cut & fly ash bricks and Refractory bricks
- 15 Poultry excluding hatcheries
- 16 Popcorn, Ice Cream, Kulfi & Ice candy making Enterprises
- 17 Coffee Roasting and Grinding
- 18 Clock and Watch Repair shops
- 19 Cassette recording [Audio & video]
- 20 Cyanide
- 21 Mining
- 22 Lime kiln / burnt lime
- 23 Hotels and motels
- 24 X-ray clinics and clinical / pathological laboratories and scanning, M.R.I. tests
- 25 All industries of mobile nature like rigs, concrete mixing plants, hot mix plants including site oriented industries.

ANNEXURE-4

Application For Sanction Of Investment Promotion Subsidy under 2009-14 Policy

1.	Name and address of the enterprise.	
2.	Zone as per 2009-14 Industrial Policy.	1 / 2 / 3 / 4
3	Location of the enterprise.	
4(a)	Registration No and date(As mentioned in EM/IEM/IL)	
4(b)	ESI / EPF Registration No. and date.	
4(c)	VAT Registration No. and date	
4(d)	Electric Power Connection RR No. (power, line).	
4(e)	Electric Power Connection RR No. (Lighting).	
5.	Micro / Small / Medium Enterprises.	
6.	Products manufactured	i)
		ii)
		iii)
		iv)
7	Constitution of the industry(Proprietary / Partnership / Private/ Public Limited Company)	
8.	Whether the enterprise belongs to special category entrepreneur (Women, SCs/STs, PH, Ex-Servicemen/ enterprises falling in most backward talukas of Hyderabad Karnataka region).	
9.	Is it new industry or existing industry undertaken Expansion/ Diversification / Modernization.	
10	Date of commencement of commercial production	
11.	Project Cost:	
	Land:	
	Building:	
	Plant and Machinery:	
	Others:	
	Working Capital Margin:	
	TOTAL	
12.	Name of the term loan lending financial institution.	
	Date of loan sanctioned.	
	Amount of loan sanctioned	
	Amount of loan release.	
	Date of first loan release.	

13	Actual Investment details :		
	Land:		
	Building		
	Plant and Machinery		
	Transportation :		
	Installation charges :		
	Others :		
	TOTAL		
14.	Means of finance		
	Own /share capital		
	Term loans		
	Others (please specify)		
	TOTAL		
15.	Employment provided in the new enterprises.		
	Executive/Top level		
	Managerial		
	Supervisory/ Skilled		
	Un Skilled/Semi skilled		
	TOTAL		
16.	Additional employment in case of expansion/ modernization/ diversification.	Existing	Additional
	Executive/Top level		
	Managerial		
	Supervisory/ Skilled		
	Un Skilled/Semi skilled		
	TOTAL		

NOTE : Furnish the following documents

- i. Statement of fixed assets in the prescribed format as per **Annexure -5** certified by the Enterprise.
- ii. Investment Certificate in the prescribed form issued by the financial institution/ bank as per **Annexure -6**.
- iii. Chartered Engineers / Architects certificates in the prescribed format as per **Annexure – 7** regarding investment on Building
- iv. Local employment details in the prescribed format as per **Annexure-8**.
- v. All relevant documents to the information provided above.

17. Declaration by the Enterprise:

I / We hereby certify that the particulars given above for the purpose of securing Investment Promotion Subsidy from the Government of Karnataka are to the best of my / our knowledge and belief, are true and correct.

Place :

Date :

Signature(s)
Name and Designation.

18. Certificate by the Assistant Director/Deputy Director/Joint Director of District Industries Centre.

I hereby certify that the particulars given by the applicant were verified by me with reference to documents furnished by the applicant and found to be true and correct.

I further certify that the total investment in fixed assets in new enterprises / in expansion, diversification, modernization of the existing enterprise could be considered for promotion subsidy is Rs....., and is eligible for 10% / 15% / 20% /25% investment Promotion Subsidy of Rs..... and also eligible for additional 5% Investment Promotion Subsidy i.e., Rs., as the entrepreneur belongs to SC/ST,/ Women/ Physically challenged/Ex-Servicemen Entrepreneurs /Enterprises coming up in most backward taluks of Hyderabad-Karnataka Region, as .

A sum of Rs..... being the investment made on items on which subsidy, is not admissible as per details shown in the **Annexure-5** statements are disallowed.

The declaration in **Annexure-8** regarding employment of local persons has been verified and certified that the enterprise has provided minimum 80% employment to the local people on overall basis (including 100% employment to local people in Group C and D categories).

Place :

Date :

Signature of the Member Secretary,
of DLC

ANNEXURE - 5**STATEMENT OF FIXED ASSETS CREATED BY THE ENTERPRISE
UNDER 2009-14 POLICY****PART – A : Investment on land.**

Location of the land	Sy.No/ Plot/ No.	Purchased / acquired by whom.	Date of purchase/ sale deed/ allotment.	Payment to the land owner.				
				Date	Amount	Payment by cash/ cheque/ DD	Cheque/ DD No.	Value accepted by DIC.

PART – B: Investment on Building

Sl. No.	Details	Built up area/ volume in sq.ft/Cu.ft	Enterprise rate as per sq.ft or Cu.ft	Total value claimed by the enterprise.	Value accepted by DIC	Remarks.
1.	Production building.					
2.	Godown					
3.	Office building					
4.	D.G.set Room					
5.	Water tank					
6.	Bore well					
7.	Compound wall					
8.	Drying yard.					
9.	Others (specify).					

PART – C: Investment on Plant and Machinery, Transportation, Erection and Electrification, etc.

Sl. No	Particulars on P&M, Transportation, Electrification, Erection.	From whom purchased/	Date of placement of order.	Date of bill/ invoice	Amount of bill/ invoice	Mode of payment cheque/ DD/ cash.	Cheque/ DD No and date.	Receipt No. Date
i.	Plant and Machinery and other productive assets.							
ii.	Transportation							
iii.	Erection							

iv.	Electrification.							
v.	Other assets.							

Signature of the Proprietor/Managing Partner/
Managing Director of the Industrial
Enterprises with seal.

PART D

Certified that verification has been done by me with reference to above fixed assets statements and found correct. No items for which subsidy is inadmissible is included in the statement.

Following items which are included in statement are disallowed as they are not eligible for Investment Promotion Subsidy for the reasons stated against each item.

Sl.No.	Name of the item.	Amount disallowed	Reasons for rejection.

Signature of the Member Secretary
of DLC

ANNEXURE-6

**FIXED INVESTMENT CERTIFICATE FROM THE BANK / FINANCIAL INSTITUTIONS
REQUIRED UNDER 2009-14 POLICY**

M/s. of (location of the enterprise)..... have been disbursed as on this date amounts under the loan amounting to Rs..... sanctioned under letter No..... Dated:..... as shown below.

Sl.No.	Particulars.	Date	Amount.
a.	Term loan sanctioned.		
b.	Loan amount disbursed on		
	Total amount disbursed as on		
c.	Value of investment in fixed assets.	As per project appraisal.	Actual investment including promoter's investment, if any.
	i) Land (excluding lease hold).		
	ii)Building (excluding residential quarters/guest houses).		
	iii) Plant and machinery (excluding technical knowhow, engineering fees, feasibility study, etc)		
	iv) Other assets, tools, jigs dies, DG sets, boilers, etc (please specify the items).		
		TOTAL.	

Certified that the sanction of financial assistance to M/s. for the enterprises at has been considered on the basis of the company's assessed requirement of factory buildings and the cost is estimated at Rs. as per the project report.

We certify that this investment certificate is issued after verifying the bills and vouchers made available by the enterprise for purposes of release of Term loan Installments (including promoter's investments and based on the physical verification of the inspecting Officers. Items for which subsidy is not admissible are not included in the investment certified above. And also certified that the investment made on second hand plant and machinery is not included.

The enterprises is covered / not covered under KVIC/KVIB/PMEGP margin money scheme.

We are in possession of relevant bills and vouchers on which this investment certificate is issued and we agree to make available the same as and when required for any verification purpose, before the loan liability is discharged.

Signature of the
Branch Manager / Manager /

Place :

Date : // SEAL //

(Name of the Institution.)

ANNEXURE-7

**CHARTERED ENGINEER 'S / ARCHITECT'S CERTIFICATE
REQUIRED UNDER 2009-14 POLICY**

I, hereby certify that as against the estimated cost of Rs.
.....of the building and civil works for
M/s..... for their proposed project at, the
enterprise as on has completed the civil works as under:

Sl.No.	Particulars	Amount.
1.	Value of completed civil works as per estimates.	
2.	Amount certified for payment to the building (civil and structural) contractors.	
3.	Retention money (from civil contractor).	
4.	Value of materials utilized for the completed portion of building.	

The value of completed building certified above do not involve the area built for guest houses, and residential building in the factory site.

It is further certified that built area of the building is absolutely essential for the manufacturing / processing activity of the industry. **A detailed estimate sheet is appended to this Certificate.**

Place :

Signature and full address.

Date :

// SEAL //

**FORM OF DECLARATION REGARDING EMPLOYMENT OF
'LOCAL PERSONS' REQUIRED UNDER 2009-14 POLICY**

1	Name of the Industrial enterprises.	M/s.			
2	Address: Village/town/taluk/ district.	OFFICE	FACTORY		
3.	Product				
4.	Year of establishment.				
5.	Proprietor/partner ship / Pvt.ltd/ Ltd				
6.	Name of the Administrative Officer in charge of recruitment. (Kannadiga or non- Kannadiga).				
7.	Registered as MSME, large/mega projects. No. and date.				
8.	Employment details (excluding Casual workers and 'Badli' workers)				
	ITEM	Group C&D	Group B	Group A	Total
A.	Total no. employees.				
B.	No. of local persons therein				
C.	Percentage of local persons to total.				

9. I / We understand that the percentage of local people in Group C & D categories i.e., supervisory/ skilled/ semi skilled/ un skilled categories has to be 100% and the overall local employment in the enterprise not less than 80% (inclusive of all categories).

I / We hereby undertake to make up the deficiency before the disbursement of subsidy.

10. I / We further understand that eligibility of my/our industrial enterprises, under taking for incentives and concessions from the Government of Karnataka is contingent upon my fulfilling minimum local employment as per the Dr. Sarojini Mahishi Report to the satisfaction of the State Government.

Place:

Date:

Signature of authorized person.

"Verified by me"

Member Secretary, DLC.

- LOCAL PERSON** is defined as one who has at least fifteen years domicile in the State of Karnataka.
- This declaration should be signed by a person duly authorized to do so by the Industrial undertaking.
 - Group A – Executive / Top level.
 - Group B – Managerial
 - Group C – Supervisory/Skilled
 - Group D – Unskilled / Semi skilled.

ANNEXURE-9**CHARTERED ACCOUNTANT CERTIFICATE
REQUIRED UNDER 2009-14 POLICY**

Name of the Chartered Accountant We hereby certify
that M/s..... (name of the indl.unit) Have acquired the
following fixed assets up to _____ for the unit at _____ (address) for manufacture of _____
_____ (name of products).

Item of fixed assets.	Period during which investment is made(date of payment)		ValueRs.
1.Land i) For entire unit excluding ETP ii) For ETP only			
2. Factory building (please specify period. i) For entire unit excluding ETP			
a. Amount paid to the Building contractors	From	To	Rs.
b. Amount paid for building materials	From	To	Rs.
c. Amount paid for wages and Salaries etc, for the Building constructions.	From	To	Rs.
(excluding architect's fees and wages paid for supervision staff etc)			
ii) For ETP only:			
a. Amount paid to the Building contractors	From	To	Rs.
b. Amount paid for building materials	From	To	Rs.
c. Amount paid for wages and Salaries etc, for the Building constructions.	From	To	Rs.
(excluding architect's fees and wages paid for supervision staff etc)			
4.Electrical installations (excluding ESCOM deposits) i) For entire unit excluding ETP ii) For ETP only			Rs.
5. Plant and Machinery i) For entire unit excluding ETP ii) For ETP only			Rs.
6. Loading, unloading, transportation, erection expenses etc i) For entire unit excluding ETP ii) For ETP only			Rs.
7. Misc. fixed assets(Please specify items) i) For entire unit excluding ETP ii) For ETP only			Rs.

We have checked the books of account of the unit, bills, invoices and payment vouchers, etc, and certify that the aforesaid information is verified and certified to be true. We also certify that all the aforesaid items have been duly paid for and no credit is raised against them in the books of the unit.

Certified that the investments certified above do not include items for which subsidy is not allowed. Expenditure incurred on following items are not included.

A. LAND

- i) Value of the open land not utilized for construction, leaving the area proposed for immediate expansion.
- ii) Expenditure incurred for land scalping for beautification and expenditure on unsuccessful open wells/bore wells.

B. BUILDING

- i) Expenditure on unproductive construction like guest house, workers/staff quarters, canteens, cycle/scooter stands, garages, etc.
- ii) Expenditure incurred on beautification of buildings, arches, decorative lights including street lights, furniture and air conditioners, water coolers, refrigerators such of the gadgets not directly connected with production.
- iii) Expenditure incurred on the purchase of old buildings.

C. PLANT AND MACHINERY

- i) All consumable materials like tool bits, files, oils, etc.
- ii) Investments on tools jigs/fixtures, moulds etc, which are required repeatedly, should not be allowed for the second time and onwards. While considering the investments made on first purchases, only the required quantify of such items are to be allowed. Extra quantities taken as spares are not to be allowed.
- iii) Second hand machines purchased shall not be included.

Date :

Place :

CHARTERED ACCOUNTANT.

// SEAL //

ANNEXURE – 10

**INVESTIGATION REPORT OF ASST.DIRECTOR/DY.DIRECTOR OF DIC.
UNDER 2009-14 POLICY**

Date of Inspection:

1	Name of the address of the enterprise	M/s.	
2	Zone	1/2/3/4	
3	Constitution (With names of Director/Partners)		
4	Whether new enterprise / Undertaken Expansion / Modernization / Diversification Programme		
5	Size of the enterprise.	Micro / Small/Medium	
	Regn No. and date		
6	In case of new industrial enterprise the date of commencement of production		
7	In case of expansion, actual date of commencement of production of existing industrial enterprise and date of completion of expansion / modernization/diversification of project		
8	Items manufactured/ processing by the Enterprise.		
9	Project Cost (RS) (Please indicate item-wise details)		
	Land		
	Building		
	Plant and machinery		
	Others		
	Working Capital Margin		
	TOTAL		
10.	Name of the lending Institution		
11.	Date and Amount of loan sanction		
12.	Date of 1 st release		
13	Means of finance	As per project appraisal.	Actual investment
	KSFC/Bank /Term Loan		
	Own finance		
	Others (please specify)		
	TOTAL		

14	Details of fixed capital investment. investment made by the enterprise	Details of Capital accepted by the DIC.		Investment	
	a)Land				
	b)Building				
	c) Plant & Machinery				
	d)Others Miscellaneous fixed assets (Please Specify)				
	TOTAL				
15	Period during which the investment :Shown in Col 14 above is made (please specify the investment made in different periods)				
16	Employment provided.	Original (in case of new enterprises)		Additional (in case of expansion enterprise)	
		Total	Local	Total	Local
	a)Top / Executive level				
	b) Managerial.				
	c) Supervisor / Skilled				
	d)Semi Skilled / Un skilled				
	TOTAL.				
17	Percentage of local employment in the enterprise.				
18	Amount of subsidy recommended			Amount	
	Eligible Fixed assets			Rs.	
	Eligible general Investment Promotion subsidy -10/15/20/25%.			Rs.	
	Eligible additional subsidy, if any – 5%			Rs.	
	Total eligible Investment Promotion Subsidy			Rs.	
19.	General remarks/recommendation (A brief report on working of the enterprise).				

Name and signature of
the officer, with designation.

Place :

Date :

ANNEXURE – 11**Agenda Notes for sanction of Investment Promotion Subsidy in the DLC Meeting held on
..... (Under 2009-14 policy)**

1	Name of the address of the enterprise	M/s.	
2	Zone	1/2/3/4	
3	Constitution (With names of Director/Partners)		
4	Whether new enterprise / Undertaken Expansion/ Modernization / Diversification Programme		
5	Size of the enterprises. Regn No. and date	Micro / Small/Medium	
6	In case of new industrial enterprise the date of commencement of production		
7	In case of expansion, actual date of commencement of production of existing industrial enterprise and date of completion of expansion / modernization/diversificationof project		
8.	Date of application filed with DIC for sanction of subsidy with all the required documents.		
9	Items manufactured/ processing by the Enterprises		
10	Project Cost (RS) (Please indicate item-wise details)		
	Land		
	Building		
	Plant and machinery		
	Others		
	Working Capital Margin		
	TOTAL		
11	Name of the lending Institution		
12	Date and Amount of loan sanction		
13	Date of 1 st release		
14	Means of finance	As per project appraisal.	Actual investment
	KSFC/Bank /Term Loan		
	Own finance		
	Others (please specify)		
	TOTAL		

15	Details of fixed capital investment.	Investment made by the enterprise financial institution	Investment accepted by the AD/DD., DIC.	Investment accepted by accepted by the J.D	Investment
	a)Land				
	b)Building				
	c)Plant & Machinery				
	d) Others Miscellaneous fixed assets (Please Specify)				
	TOTAL				
16	Period during which the investment :Shown in Col 14 above is made (please specify the investment made in different periods)				
17	Employment provided.	Original (in case of new enterprises)		Additional (in case of expansion enterprise)	
		Total	Local	Total	Local
	a)Top / Executive level				
	b) Managerial.				
	c) Supervisor / Skilled				
	d)Semi Skilled / Un skilled				
	TOTAL.				
18	Percentage of local employment in the enterprise.				

19. Recommendation :

As per the details, the enterprises is located at i.e., in Zone-1/2/3, availed term loan of Rs..... from institution for the manufacture of

The enterprises is eligible for Investment Promotion Subsidy for eligible fixed assets of Rs. under 2009-14 Industrial Policy as under:

Eligible general subsidy -10/15/20/25%	- Rs.
Eligible additional subsidy, if any – 5%	- Rs.....
Total eligible subsidy	- Rs.....

The subject is placed before the DLC for consideration.

Place:

AD/DD

Date:

Member Secretary DLC

ANNEXURE-12

**Format for issue of sanction order for Investment Promotion Subsidy
Under 2009-14 policy**

**Government of Karnataka
Department of Industries and Commerce**

No.....

Office of the

Date:

Sanction Order

SUB: Sanction of 10/15/20/25% Investment Promotion Subsidy and additional 5% special category subsidy under 2009-14 Industrial Policy to M/s. for manufacture/ process of located at

REF: 1. G. O.No.CI.233.SPI.2008, dated 28th February 2008

2. Your application for Investment Promotion Subsidy received on dated: _____

We are pleased to inform you that the District Level Committee for sanction of Investment Promotion Subsidy for MSMEs, in its Meeting held on _____ has sanctioned a total Investment Promotion Subsidy of Rs. _____ (Rupees _____ only) on the basis of investment made on fixed assets of your enterprise located at _____ i.e., Zone – 1/2/3 as per 2009-14 Industrial Policy for manufacture/ process of The Committee has accepted the investment as detailed below:

Sl.No.	Particulars	AmountRs.
1.	Land	
2.	Building:	
3.	Plant & Machinery.	
4.	Erection / Electrification / transportation, etc.,	
5.	Other fixed assets (Please specify)	

TOTAL:

Accordingly, the amount of Investment Promotion Subsidy to which you are eligible is determined at Rs. _____ (Rupees _____ only) at 10/15/20/25% and additional 5% special category subsidy of Rs. i.e., total eligible subsidy determined at Rs. on the above investment under 2009-14 Industrial Policy.

You shall have to execute an agreement, in the draft format enclosed to this sanction order. The original agreement should be on Stamp paper of Rs.100/-. The agreement should be executed by the proprietor in case of proprietary concern, by one or more directors duly authorized by the board of directors of the company. The execution of the agreement should be under the common seal of the company, by all the partners in case of partnership concern. However, if any one of the partners holds a general power of attorney, he may execute the agreement on behalf of the remaining partners and furnish a certified true

copy of the power of attorney. When the agreement is executed by a holder of general power of attorney, a certificate signed by all the partners on behalf of the firm to the effect that the general power of attorney is in force and not revoked as on the date of execution of the agreement, should be furnished. The Erasures, if any, should be properly attested No blank should be left in the agreement form.

The above agreement should be executed in the presence of Assistant Director/ Deputy Director/ Joint Director, District Industries Centre,

The sanction of this Investment Promotion Subsidy is subject to following conditions:

1. In the event of the Investment Promotion Subsidy being sanctioned, while at a later date found to be not actually due, the grantee shall refund to Government such portion or whole amount as determined by the Directorate of Industries and Commerce / Joint Director , DIC———. In the event of the grantee failing of refund such amount, the same shall be recovered as arrears of land revenue.
2. The Investment Promotion Subsidy sanctioned will be released @ 25% per annum only and which will be against the value payment of VAT, ESI, EPF, and power tariff or the loan dues if the enterprise is not covered by VAT, ESI, EPF and power tariff.
3. The enterprise shall submit their claim every year i.e. on or before 30th April, indicating the value of VAT, ESI, EPF and power tariff or the loan dues paid in along with the certified copies of the amount paid from the concerned agencies to the Joint Director, DIC for taking further action in the matter. The enterprise shall utilize the sanctioned amount within a period of five years from the date of sanction of the subsidy amount.
4. The Joint Director, District Industries Centre shall also monitor the employment provided to local people in accordance with Dr. Sarojini Mahishi Report for a period of five years. In case enterprise fail to comply the employment to local people, shall be bound to return the subsidy amount to Government.
5. The Investment Promotion Subsidy sanctioned herein is in the nature of “Grant –in-aid” and shall not be construed as a “contract” with Government of Karnataka. The grantee shall not exercise his right of privities of contract in the matter of release of subsidy by Government of Karnataka, who shall release the subsidy amount as and when the grantee is eligible for the same, in such proportions and installments as the Government may regulate.

**Joint Director
District Industries Centre**

ANNEXURE-13

**Format for undertaking to be executed for Investment Promotion Subsidy
Under 2009-14 policy**

UNDERTAKING

The undertaking executed this day _____ 200 _____ by _____ carrying on the business of _____ under the name and style of M/s. _____ herein after called the 'Grantee' of the one part in favor of the Governor of Karnataka, represented by the Director of Industries and Commerce herein after called the Government of other part WITNESSETH.

Where as District Level Committee _____ vide Order No. _____ dated: _____ has sanctioned an Investment Promotion Subsidy of Rs. _____ (Rs. _____ only) under the Government of Karnataka scheme of Package of Incentives and Concessions (2009-14 Industrial Policy) new Industrial investment made in the State of Karnataka to the grantee for the purpose of his enterprises.

In consideration of the grant of Rs. _____ (Rs. _____ only) as per the Order No. _____ dated: _____ of the Joint Director, District Industries Centre _____ the receipt whereof the grantee hereby acknowledges, the grantee agree and covenants as follows:

- i If the State Government/Financial Institution concerned is satisfied that the investment subsidy has been obtained by misrepresentation of essential fact, furnishing false information after the reimbursement of full subsidy, the State Government/Financial Institution concerned shall have the right to claim refund of the grant/subsidy of Rs..... (Rs..... Only) paid to the grantee together with such interest as the State Govt /Financial Institution concerned may charge.
- ii In the event of the subsidy being sanctioned, which at later date is found to be not actually due, the grantee shall refund to Government such portion or the whole amount as determined by the Joint Director, District Industries Centre/Director of Industries and Commerce. On failure to do so, such amount shall be recovered by the Government as arrears of land revenue.
- iii That this grant shall not be construed as a contract and shall be in the nature of Grant-in-Aid only and the provisions of the Indian Contract Act or that of Specific Relief Act or any other relative statutory Acts/Provisions shall not apply in the instant case.
- iv That the grantee shall not exercise his right of claiming release/reimbursement of subsidy amount out-of-turn either directly or through his attorney and that the decision of the Government in the matter of periodic release based on the availability of funds shall be final and binding on the grantee.
- v The Grantee shall undertake to provide employment to local people in accordance with Dr. Sarojini Mahishi Report and maintain the same atleast for a period of five years from the date of release of the subsidy amount. In case the enterprise fail to do shall bound to return the investment subsidy amount to the Government.

SCHEDULE:

Sl.No.	Particulars	Investment details(in rupees)
1	Land	Rs.
2	Building	Rs.
3.	Plant and Machinery	Rs.
4.	Erection / Electrification / transportation, etc.,	Rs.
5.	Other fixed assets (Please specify)	Rs.
	TOTAL:	

In witness whereof the Grantee has set his hand the _____ day of _____
_____ two thousand _____ first above mentioned.

Witness:

Signature of the Grantee

1.

2.

//Common Seal of the Company//
Shall be affixed here.

“Attested”
AD/DD/JD, DIC

ANNEXURE-14

**Format for claiming the release of sanctioned Investment Promotion Subsidy under 2009-14 policy
(A) Format for enterprises covered under VAT, ESI, EPF and with or without power.**

Name and address of the enterprise	Product	Date of production	VAT paid with dates in a year	ESI contribution amount of enterprise paid with dates in a year	EPF contribution of the enterprise paid with dates in a year	Power tariff amount paid with dates in a year	Total Amount of VAT, EPF, ESI & power tariff paid in a year.
1	2	3	4	5	6	7	8

Total amount admissible for reimbursement (not exceeding 25% of the sanctioned subsidy amount)	Enterprise is working/closed	Remarks
9	10	11

It is certified that the above particulars are correct and true.

Name and signature of the
Grantee with seal

(B) Format for enterprises not covered under VAT, ESI, EPF and Power

Name and address of the enterprise amount.	Product	Name of the bank.	Date of sanction of loan and	Amount of loan released with dates.	Date of production
1	2	3	4	5	6

Loan amount paid to the bank with date & amount		Total amount admissible for reimbursement (not exceeding 25% of the sanctioned subsidy amount)	Enterprise is working/closed	Remarks
Principal	Interest	9	10	11
7	8			

It is certified that the above particulars are correct and true.

Name and signature of the
Bank Manager

Name and signature of the
Grantee with seal

ANNEXURE – 15

Format for claiming Grant from Head Office for disbursement of Investment Promotion Subsidy. For the year

Sl No	Name of the Industry & address.	Zone	Product	Dt.of comm. Eracial produ Ction	Dt.of DLC	Total Fixed invest ment.	Amt.of subsidy sancti oned.	Spl. Cate gory details if any	Spl. Sub sidy amt. sanct ioned.	Total sub sidy sanct ioned.
1	2	3	4	5	6	7	8	9	10	11

Sub sidy amt.alre ady rele ased.	Subsidy amount now claimed for enterprises covered under VAT, ESI, EPF and power.					Subsidy now claimed for enterprise not covered under VAT, ESI, EPF & power.		Total	Remarks.
	VAT	ESI	EPF	Power	Total	Prin Cipal due	Inter est due.		
12	13	14	15	16	17	18	19	20	21

- i Certified that the above amount is not claimed earlier.
- ii Certified that the above enterprises have given all the required documents and are kept in the concerned file.
- iii Certified that the above enterprise do not have any pending audit para
- iv Certified that the enterprises have provided employment as per Dr. Sarojini Mahishi report.

AD/DD, DIC
Member Secretary, DLC

Joint Director,DIC
Chairman, DLC

ANNEXURE – 16

Format for release of investment promotion subsidy to the concerned enterprise under 2009-14 policy

RELEASE ORDER

Sub: Release of — installment sanctioned investment subsidy to
M/s _____

- Ref: (i) Sanction Order No.
(ii) Earlier release order no.
(iii) Directorate's letter no.
(iv) Enterprise's letter

M/s _____
_____ has been sanctioned with investment subsidy of Rs. _____ vide sanction order cited under ref (i).

The enterprise has already been released with _____ installments of sanctioned investment subsidy amount vide letter cited under ref (ii) .

The Directorate of I & C has released Rs. — vide their order cited under ref (iii) as grant under head of account No. _____ being the amount to be further released to eligible industries as investment promotion subsidy. From out of the Grants, M/s _____ is herewith released with Rs. _____ as the _____ installment of the sanctioned subsidy amount. M/s _____ shall draw this amount on payees receipt duly countersigned by Joint Director, DIC.

Joint Director, DIC

ANNEXURE – 18

**FORMAT FOR ISSUE OF RELEASE ORDER FOR REIMBURSEMENT OF
LAND CONVERSION FINE UNDER 2009-14 POLICY**

**GOVERNMENT OF KARNATAKA
Department of Industries and Commerce**

No.....

Office of the

Date:

OFFICE ORDER

- Ref: 1.G.O.No. CI//233/SPI/2008 dtd: 28.2.2009
2.Revenue Department Land Conversion Order No.
3.Application of M/s. Dtd:.....

M/s. have made an application for grant of reimbursement of Land Conversion Fine paid for conversion of acres of land which was under in the name of Sri/Smt situated in Survey No. No..... of Village, Taluk, District for having established industry for manufacture of a IEM acknowledgement/ Industrial license has also been obtained by the applicant enterprise vide No. Dtd: for this purpose.

The enterprise has paid the Land Conversion Fine of Rs. in accordance with the Land Conversion Order cited at ref(2).

It is certified that the enterprise's requirement of land has been examined in detail and found that Acres of land was essential for setting up the said industry. It is eligible for exemption from payment of conversion fine for conversion of the above land from agricultural to non-agricultural industrial purpose, in terms of G.O.No. CI/233/SPI/ 2008 dtd: 28.2.2009.

Accordingly the enterprise is entitled for the reimbursement to the extent of 100%/75% i.e., Rs. Accordingly the enterprise has to draw this amount on payee's receipt duly countersigned by the Joint Director, District Industries Centre,/Commissioner for Industrial Development and Director of Industries and Commerce.

The expenditure in this behalf is debited to Head of Account

JOINT DIRECTOR
District Industries Centre
..... District.
or
JD (ID), Head office

**Proforma for Entry Tax Exemption Certificate during Implementation phase.
Under 2009-14 policy**

**Government of Karnataka
Department of Industries and Commerce**

No.....

Office of the
Date:

CERTIFICATE

Sub: Exemption of Entry Tax to M/s

Ref: 1. G.O. No. CI.233.SPI.2008 Dated: 28.2.2009.

2. F.D. Notification No. (i) FD.05.CET.2009 dt:3/6/2009

3. Application dated: of M/s.

This is to certify that

1. M/s. is a Micro/Small / Medium Enterprises / Large / Mega industry located at taluk, District.
2. The enterprise is registered vide No. Dated: for manufacture of with GOI / GOK.
3. The enterprise is located at zone 1 / 2/3 as classified in the Govt.Order cited at ref(1) above.
4. It is a new enterprise/enterprise proposed to undertake expansion/diversification/ modernization and cleared in DLSWCC /SLWCC/SHLCC for establishment of , with a total investment of Rs.
5. The value of fixed assets as proposed in the project report is as below:

SI.No	Description	Investment (Rupees)
1	Land and site development	
2	Buildings and other civil structures	
3.	Plant and Machinery including co- generation power plant.	
4.	Other fixed assets	
5.	Miscellaneous	
	Total.	

6. The enterprise is eligible for Entry Tax Exemption on the entry of plant and machinery and capital goods directly involved in the production or other processing of goods and including those equipments brought for the purpose of establishing captive power generation plant for a maximum period of 3 years from _____ i.e., the date of implementation of the project as envisaged by the purchase order No. _____ dated: _____ or till the completion of the project implementation, whichever is earlier.
7. This Certificate is issued as per the Govt. Orders cited at reference (1) & (2) above.
8. The enterprise has to fulfill the conditions as prescribed in the Govt. Order cited at ref (1) above

JOINT DIRECTOR (DIC)/JOINT DIRECTOR (ID)

**Proforma for Entry Tax Certificate to during operational phase
(under 2009-14 policy)**

**Government of Karnataka
Department of Industries and Commerce**

No.....

Office of the

Date:

CERTIFICATE

Sub: Exemption of Entry Tax to M/s.

Ref: 1. G.O. No. CI.319.SPI.2005 Dated: 26.8.2006.

2. F.D. Notification No. (i) FD/05/CET/2009 dt: 3/6/2009

3. Application dated: of M/s.

This is to certify that

1. M/s. is a Micro/Small / Medium Enterprises/ Large / Mega industry located at taluk, District.
2. The enterprise is registered vide No. Dated: for manufacture of with GOI / GOK.
3. The enterprise is located at zone 1 / 2/3 as classified in the Govt.Order cited at ref(1) above.
4. It is a new enterprise/enterprise proposed to undertake expansion/diversification/ modernization and cleared in DLSWCC / SLWCC / SHLCC for establishment of , with a total investment of Rs.
5. The value of fixed assets invested is as below:

Sl.No.	Description	Investment (Rupees)
1	Land and site development	
2	Buildings and other civil structures	
3.	Plant and Machinery including co generation power plant.	
4.	Other fixed assets	
5.	Miscellaneous	
	Total.	

6. The enterprise is eligible for Entry Tax Exemption on the entry of any goods for use as raw materials, inputs, component parts and consumables (excluding petroleum products like crude oil, petrol, diesel, super light diesel oil, bitumen (asphalt) tar, furnace oil, break fuel or clutch fluid, transformer oil, coolants, white oil, hexane, lubricating oil, petroleum jelly, naphtha and LSHS used as consumables or for captive power generation enterprises) for a period of 5 years from _____ — i.e., the date of commencement of commercial production, as envisaged by the Invoice/Bill No. _____ dated: _____ .
7. Certified that no part of Fixed Assets other than Land & Building claimed above is old/used/second hand with the exception of those imported from outside the country.
8. This Certificate is issued as per the Govt. Orders cited at reference (1) & (2) above.
9. The enterprise has fulfilled the conditions of local employment as prescribed in the Govt. Order cited at ref(1) above

JOINT DIRECTOR (DIC)/JOINT DIRECTOR (ID)

ANNEXURE-21**Proforma for Entry Tax Exemption Certificate to 100% EOUs
(under 2009-14 policy)****Government of Karnataka
Department of Industries and Commerce**

No.....

Office of the

Date:

CERTIFICATE

Sub: Exemption of Entry Tax to 100% EOU enterprise viz., M/s.

Ref: 1. G.O. No. CI.233.SPI.2008 Dated: 28.2.2009.

2. F.D. Notification No..(ii) FD/05/CET/2009 dt:3/6/2009

3. Application dated: of M/s.

This is to certify that

- (i) M/s. is a Micro/Small / Medium Enterprises/ Large / Mega industry located at taluk, District.
- (ii) The enterprise is registered vide No. Dated: for manufacture of with GOI / GOK.
- (iii) The enterprise is registered as 100% EOU vide No. dtd: with Development Commissioner , _____, Government of India.
- (iv) The enterprise is located at zone 1 / 2/3 /4 as classified in the Govt.Order cited at ref(1) above.
- (v) It is a new enterprise/enterprise proposed to undertake expansion/diversification/ modernization and cleared in DLSWCC / SLWCC / SHLCC for establishment of , with a total investment of Rs.
- (vi) The value of fixed assets invested as proposed in the project report is as below:

Sl.No.	Description	Investment (Rupees)
1	Land and site development	
2	Buildings and other civil structures	
3.	Plant and Machinery including co generation power plant.	
4.	Other fixed assets	
5.	Miscellaneous	
	Total.	

(vii) The enterprise is eligible for 100% Entry Tax Exemption on Plant and Machinery and on Capital goods for a period of 3 years from _____ i.e., the date of commencement of project implementation as envisaged by the purchase order No. _____ dated: _____ .

(viii) This Certificate is issued as per the Govt. Orders cited at reference (1) & (2) above.

(ix) The enterprise has fulfilled the conditions of local employment as prescribed in the Govt. Order cited at ref(1) above

JOINT DIRECTOR (DIC)/JOINT DIRECTOR (ID)

**Proforma for Entry Tax Exemption Certificate to other EOU's
(under 2009-14 policy)**

**Government of Karnataka
Department of Industries and Commerce**

No.....

Office of the

Date:

CERTIFICATE

Sub: Exemption of Entry Tax to EOU enterprise viz., M/s.

Ref: 1. G.O. No. CI.233.SPI.2008 Dated: 28.2.2009.

2. F.D. Notification No..(iii) FD/05/CET/2009 dt:3/6/2009

3. Application dated: of M/s.

This is to certify that

- (i) M/s. is a Micro/Small / Medium Enterprises/ Large / Mega industry located at taluk, District.
- (ii) The enterprise is registered vide No. Dated: for manufacture of with GOI / GOK.
- (iii) The enterprise is located at zone 1 / 2/3 /4 as classified in the Govt.Order cited at ref(1) above.
- (iv) It is a new enterprise/enterprise proposed to undertake expansion/diversification/ modernization and cleared in DLSWCC / SLWCC / SHLCC for establishment of , with a total investment of Rs.
- (v) The value of fixed assets invested is as below:

Sl.No.	Description	Investment (Rupees)
1.	Land and site development	
2.	Buildings and other civil structures	
3.	Plant and Machinery including co generation power plant.	
4.	Other fixed assets	
5.	Miscellaneous	
	Total.	

The enterprises turnover during last years is as follows:

Period	Total turnover	Local sales	Export turnover	Percentage of export.

- (vi) The enterprise is eligible for 50% entry tax exemption on the entry of any goods for use as raw materials, inputs, component parts and consumables (excluding petroleum products like crude oil, petrol, diesel, super light diesel oil, bitumen (asphalt) tar, furnace oil, break fuel or clutch fluid, transformer oil, coolants, white oil, hexane, lubricating oil, petroleum jelly, naphtha and LSHS used as consumables or for captive power generation enterprises) for a period of 3 years from _____ i.e., the date of commencement of commercial production, as envisaged by the Invoice/Bill No. _____ dated: _____ . \
- (vii) This Certificate is issued as per the Govt. Orders cited at reference (1) & (2) above.
- (viii) The enterprise has fulfilled the conditions of local employment as prescribed in the Govt. Order cited at ref(1) above

JOINT DIRECTOR (DIC)/JOINT DIRECTOR (ID)

ANNEXURE – 23

**Format for refund of certification charges to 100% EOUs and other EOUs
(under 2009-14 policy)**

**Government of Karnataka
Department of Industries and Commerce**

No.....

Office of the

Date:

OFFICE ORDER

Sub: Refund of certification charges to

M/s.

Ref: 1. G.O. No. CI.233.SPI.2008 Dated: 28.2.2009.

2. Application dated: of M/s.

3. Letter from Joint Director, DIC _____

This is to certify that

1. M/s. is a Micro/Small / Medium Enterprises/ Large / Mega industry located at taluk, District.
2. The enterprise is registered vide No. Dated: for manufacture of with GOI / GOK.
3. The enterprise is registered as 100% EOU vide No. dtd: with Development Commissioner, _____, Government of India.
or the unit is certified as other EOU having fulfilled 25% export obligation.
4. The enterprise is located at zone 1 / 2/3 /4 as classified in the Govt. Order cited at ref(1) above.
5. It is a new enterprise/undertaken expansion/diversification/ modernization and cleared in DLSWCC / SLWCC / SHLCC for establishment of , with a total investment of Rs.

The value of fixed assets created is as below:

Sl.No.	Description	Investment (Rupees)
1	Land and site development	
2	Buildings and other civil structures	
3.	Plant and Machinery including co- generation power plant.	
4.	Other fixed assets	
5.	Miscellaneous	
	Total.	

6. M/s _____ has incurred a total expenditure of Rs. _____ towards _____
_____. As per the Industrial Policy cited under ref (1), the enterprise is eligible for reimbursement to the extent of 50% of the expenses subject to a maximum of Rs.2 lakhs, accordingly the enterprise is entitled for refund of Rs. _____ only.
7. M/s _____ has to claim this amount on payee's receipt duly countersigned by the Joint Director, DIC _____ / Commissioner for Industrial Development and Director of Industries and Commerce, Bangalore.
8. The expenditure in this behalf is debitable to Head of Account _____

JOINT DIRECTOR (DIC)/JOINT DIRECTOR (ID)

ANNEXURE - 25**APPLICATION FORM FOR SANCTION OF INVESTMENT SUBSIDY FOR SETTING UP OF EFFLUENT TREATMENT PLANT (ETPs). UNDER 2009-14 POLICY****(A) Format for enterprises covered under VAT, ESI, EPF and with or without power.**

1	Name and address of the enterprise		
2	Zone-As per Indl Policy 2006-11		
3.	Location of the Enterprise		
4	Registration No. and date (as mentioned in EM/IEM/IL)		
5	Products manufactured/processes.		
6	Constitution of the industry (Proprietary/Partnership/Pvt/Ltd/Com)		
7	Whether the enterprise belongs to Special Category entrepreneur. Please specify. (Women/SC/ST/PH/Ex- Servicemen and others)		
8	Project cost details.	Main enterprise	ETP
	1 .Land		
	2. Building		
	3. Plant and Machinery		
	4. Others		
	5. Working Capital		
	Total.		
9	Date of Commercial Production		
10	CFE & CFO Issued by KSPCB		
11	Environment Clearance Certificate (ECC) issued by DFEE / MOEF (Wherever applicable)		
12	Environment Management Plan (EMP)		
13	Compliance Certificate to the consent condition from KSPCB and certification for investment on ETP.		
14	Actual Investment details	Main enterprise	ETP
	1.Land		
	2.Building		
	3.Plant & Machinery		
	4.Other fixed assets (please specify)		
	Total.		
15	Name of the Term Loan lending Financial Institution/Bank.		
	1. Date of loan sanction		
	2. Amount of loan sanction		
	3. Amount of loan release.		
	4. Date of first loan release.		

Along with the above information, the following documents have to be enclosed:

- I. Investment certificate in the prescribed form issued by the financial institution/Bank (As per **Annexure-46**).
- II. Investment certificate in the prescribed format issued by the Chartered Accountant (As per **Annexure-47**).
- III. Statement of fixed assets in the prescribed format certified by the enterprise (As per **Annexure-26A & 26B**).
- IV. Engineers/Architects Certificate in the prescribed format regarding investment on building (As per **Annexure-48**).
- V. Employment details (As per **Annexure-8**).

Declaration by the applicant:

I / We hereby certify that the particulars given above are to the best of my /our knowledge and belief and true are correct.

Place :

Date :

Signature(s)
Name & Designation.
(with seal of the company)

ANNEXURE – 26**Statement of fixed assets created by the enterprise for claiming investment subsidy for ETPs. under 2009-14 policy****(A) Detailed Statement of Fixed Assets Created By the Enterprise excluding ETP:**

Sl. No.	Particulars on the fixed assets	From whom purchased	Date of placement of order	Date of invoice /Bills	Amount of Bills/ Invoice	Date of payments	Mode of Pay-ments	Vouchers/Bills Receipts. No. Date	Remarks
1	Land & Land Developments	1) 2)							
2	Building	1) 2)							
3	P&M and other Productive assets	1) 2)							
4	Transportation & Transit Insurance	1) 2) 3)							
5	Erection								
6	Electrification								
7	Other fixed assets								
TOTAL:									

(Note: Please submit separate statements for each item of assets)

(B) Detailed Statement of Fixed Assets Created By the Enterprise for ETP:

Sl. No.	Particulars on the fixed assets	From whom purchased	Date of placement of order	Date of invoice /Bills	Amount of Bills/ Invoice	Date of payments	Mode of Pay-ments	Vouchers/Bills Receipts. No. Date	Remarks
1	Land & Land Developments	1) 2)							
2	Building	1) 2)							
3	P&M and other Productive assets	1) 2)							
4	Transportation & Transit Insurance	1) 2) 3)							
5	Erection								
6	Electrification								
7	Other fixed assets								
TOTAL:									

(Note: Please submit separate statements for each item of assets)

Signature of the Proprietor/Partner/
Managing Director of the Enterprises.

PART-B

Certified that verification has been done by me in respect of Vouchers / Bills at Sl. No.....with reference to originals produced by the Enterprises and found correct.

No items for which subsidy is in admissible is included in the statement.

Following items which are included in statements are disallowed as they are not eligible for subsidy for the reasons stated against each items.

- 1)
- 2)
- 3)

Joint Director (ID)

ANNEXURE - 27**List of pipeline status of enterprises eligible for sanction of Capital Investment Subsidy under 2001-06 policy.****A) For Bank/Financial institutions assisted cases:**

Sl. No	Name & address of the enterprise	Products	Bank name and loan amount	Date of loan sanctioned and 1 st release.	New enterprise/ Exp/Modz/ Diverf.
1	2	3	4	5	6

Value of fixed assets	Approximate subsidy amount.	Date of PRC/ EM Part I.	Date of PMT/ EM Part II.	Date of production.	Remarks.
7	8	9	10	11	12

Certificates :

- 1) Certified that the subsidy is not sanctioned earlier to above enterprises.
- 2) Certified that the enterprises have furnished all the relevant documents for the purpose of sanction of subsidy.
- 3) Certified that all the above enterprises are inspected by the Joint Director along with the other officers of DICs and found that they are in working condition and eligible for sanction of Capital Investment Subsidy

Asst. Director /Deputy Director,
District Industries Centre &
Member Secretary, DLC

Joint Director,
District Industries Centre
and Chairman, DLC

B) For self financed cases :

Sl. No	Name & address of the enterprise	Products	Date of loan purchase/land conversion/lease agreement.	New enterprise/ Exp/Modz/ Diverf.	Value of fixed assets.
1	2	3	4	5	6

Approximate subsidy amount.	Power service date	Date of PRC/ EM Part I.	Date of PMT/ EM Part II.	Date of production.	Remarks.
7	8	9	10	11	12

Certificates :

- 1] Certified that the subsidy is not sanctioned earlier to above Enterprises.
- 2] Certified that the enterprises have furnished all the relevant Documents for the purpose of sanction of subsidy.
- 3] Certified that all the above enterprises are inspected by the Joint Director along with the other officers of DICs and found that they are in working condition and eligible for sanction of Capital Investment Subsidy.

Asst. Director/Deputy Director,
District Industries Centre &
Secretary, DLC

Joint Director,
District Industries Centre & Member
Chairman, DLC

ANNEXURE – 28

**FORMAT FOR CLAIMING GRANTS FROM HEAD OFFICE TOWARDS
REIMBURSEMENT OF LAND CONVERSION FINE ON QUARTERLY BASIS
(i.e., quarter ending as on) under 2009-14 policy**

Sl. No.	Name and address of the enterprise	Whether micro/ Small/ Medium/ Large/ Mega/	Location (i.e, zone)	Product	Date of production.	Extent of Land Conversion.	Amount of Conversion Fine paid.
1	2	3	4	5	6	7	8

%age of Conversion Fine Exemption entitlement. refund.	New or expansion	Date of Land conversion	Eligible amount for	Category of enterprise (i.e., SC/ ST/Women/PH/ Ex-Servicemen)	Remarks.
9	10	11	12	13	14

1. Certified that the above enterprises are covered under 2009-14 policy only.
2. Certified that the above enterprises have furnished all the required documents and eligible for the reimbursement of Land Conversion Fine.

JD., DIC., DISTRICT.

ANNEXURE – 30

**FORMAT FOR FILING QUARTERLY RETURNS TO HEADOFFICE IN RESPECT OF ENTRY TAX
EXEMPTION CERTIFICATE ISSUED TO MSMEs (i.e., quarter ending as on)
(UNDER 2009-14 POLICY)**

Sl No.	Name and address of the unit	Location(Zone/ Policy)	Product	Date of production	Type of unit i.e., Micro/ Small/ Medium
1	2	3		4	5

Category of the unit (SC/ST/PH/ Women/ Ex-Service Men)	Entry Tax Exemption for project implementation or operational period.	Value of Plant and Machinery or project cost.	New unit or expansion	Remarks.
6	7	8	9	10

JD, DIC.,,,,,,, DISTRICT.

ANNEXURE – 31

FORMAT FOR FILING QUARTERLY RETURNS TO HEADOFFICE IN RESPECT OF ENTRY TAX EXEMPTION CERTIFICATES ISSUED TO 100% EOUs and other EOUs under MSMEs (i.e., quarter ending as on) (UNDER 2009-14 POLICY)

Sl No.	Name and address of the unit	Location (Zone/ Policy)	Product	Date of production	Type of unit i.e., Micro/ Small/ Medium	Whether 100% EOU or other than EOUs
1	2	3	4	5	6	7

Category of the unit (SC/ST/PH/ Women/ Ex-Service Men)	Value of Plant and Machinery or project cost.	New unit or expansion	Remarks.
8	9	10	11

JD, DIC.,,,,,,, DISTRICT.

ANNEXURE – 32

FORMAT FOR CLAIMING GRANTS FROM HEAD OFFICE TOWARDS REIMBURSEMENT OF CERTIFICATION CHARGES TO 100% EOU_s AND OTHER EOU_s ON QUARTERLY BASIS

(i.e., quarter ending as on) (UNDER 2009-14 POLICY)

Sl No.	Name & address of the enterprise	Product	Date of production	Type of Certification obtained	Certification amount paid.	Whether micro/ Small/ medium.
1	2	3	4	5	6	7

Location (i.e., zone)	New or expansion	Category (SC/ST/ Women/ PH/ Ex-Servicemen)	Amount paid for certificate.	Eligible amount for refund.	Whether 100% EOU or other EOU.	Remarks.
8	9	10	11	12	13	14

1. Certified that the above enterprises are covered under 2009-14 policy period.
2. Certified that the above enterprises have furnished all the required documents and eligible for the refund.

JD., DIC,..... DISTRICT.

ANNEXURE – 35

Format for issue of sanction order in respect of ETP subsidy under 2009-14 policy

**GOVERNMENT OF KARNATAKA
Department of Industries and Commerce**

No.....

Office of the Director,
No.49, Khanija Bhavan,
Race Course Road,
Bangalore, Dt:.....

CAPITAL SUBSIDY SANCTION ORDER FOR EFFLUENT TREATMENT PLANT (ETP)

Sub: Sanction of Capital Subsidy to M/s. Manufacturing
..... Product.

Ref: 1.Your application for Investment Subsidy received on
2. Government Order No. CI/233/SPI/2008 dtd:28.2.2009.

><><><

We are pleased to inform you that the Committee for sanction of capital subsidy for ETP in its meeting held on has sanctioned a subsidy of Rs.(Rs. only) to your enterprise at on the basis of the investment made by you on Air Pollution Control equipments and /or Water Pollution Control enterprises and its fixed assets. The committee has accepted the investment as detailed below:

a)	Air pollution control equipments and its fixed assets.	Rs.
b)	Water pollution control enterprises and its fixed assets.	Rs.
c)	Others	Rs.
	Total	Rs.

Accordingly, the amount of capital subsidy to which you are eligible is determined at Rs. (Rs..... only) at 50% of the above investment under 2009-14 Industrial Policy and limited to

You shall have to execute an agreement and draft of which is enclosed to this sanction order. The original agreement should be on Stamp paper of Rs.100/-. The agreement should be executed by the proprietor in case of proprietary concern, by one or more Directors duly authorized by the Board of Directors of the company. The execution of the agreement should be under the common seal of the company, by all the partners in case of partnership concern. However, if any one of the partners holds a general power of attorney, he may execute the agreement on behalf of the remaining partners and furnish a certified true copy of the power of attorney. When the agreement is executed by a holder of general power of attorney, a certificate signed by all the partners on behalf of the firm to the effect that the general power of attorney is in force and not revoked as on the date of execution of the agreement, should be furnished.

Erasures, if any, should be properly attested No blank should be left in the agreement form.

The above agreement should be executed in the presence of Officer In charge, District Industries Centre / Joint Director (ID).

The sanction of this capital subsidy is subject to following conditions.

If the State Government is satisfied that the 50% capital subsidy has been obtained by misrepresentation of the essential facts, furnishing of false information or if the enterprise goes out of production within one year after receipt of subsidy,, the State Government shall have the right to claim refund of the capital subsidy of Rs..... sanctioned, together with interest as the State Government may charge.

The grantee shall not change the location of the whole or any part of the industrial enterprise or effect any contraction or disposal of a part of its total fixed capital investment within a period of one year after the enterprise receives this grant.

In the event of the capital subsidy being sanctioned, while at a later date found to be not actually due, the grantee shall refund to Government. Such portion or whole amount as determined by the Directorate of Industries and Commerce/ Joint Director, District Industries Centre. In the event of the grantee failing of refund such amount, the same shall be recovered as arrears of land revenue.

Where the industrial enterprise goes out of production or remains closed without production activities within one year from the date of receipt of subsidy except in case where the enterprise remain out of production for short period extending not more than six months due in reasons beyond control, such as shortage of raw materials, power etc, the grantee shall refund to Government the entire subsidy received. If the enterprise fails to refund the subsidy amount the same shall be recovered as arrears of land revenue.

The capital subsidy sanctioned herein is in the nature of "Grant-in-aid" and shall not be construed as a "contract" with Government of Karnataka. The grantee shall not exercise his right of privity of contract in the matter of release of subsidy by Government of Karnataka, who shall release the subsidy amount as and when the grantee is eligible for the same, in such proportions and installments as the Government may regulate. The subsidy sanctioned will be released only through the concerned Financial Institutions/ Banks.

JOINT DIRECTOR (ID)

**GOVERNMENT OF KARNATAKA
Department of Industries and Commerce**

No.....

Office of the Director,
No.49, Khanija Bhavan,
Race Course Road,
Bangalore, Dt:.....

**CAPITAL SUBSIDY SANCTION ORDER FOR ANCHOR ENTERPRISE
UNDER 2009-14 POLICY**

Sub: Sanction of Capital Subsidy to M/s.
Manufacturing Product.

Ref: 1. Your application for Investment Subsidy received on
2. Government Order No. CI/233/SPI/2008 dtd:28.2.2009.

><><>

We are pleased to inform you that the Committee for sanction of capital subsidy for Anchor enterprise subsidy in its meeting held on has sanctioned a subsidy of Rs. (Rs. only) to your enterprise at on the basis of the investment made by you on fixed assets. The committee has accepted the investment as detailed below:

a)	Land	Rs.
b)	Building	Rs.
c)	Plant and machineries.	Rs.
d)	Other assets	Rs.
	TOTAL	Rs.

Accordingly, the amount of capital subsidy to which you are eligible is determined at Rs. 100.00 lakhs (Rs. One hundred lakh only) in accordance with the 2009-14 Industrial Policy.

You shall have to execute an agreement and draft of which is enclosed to this sanction order. The original agreement should be on Stamp paper of Rs.100/-. The agreement should be executed by the proprietor in case of proprietary concern, by one or more Directors duly authorized by the Board of Directors of the company. The execution of the agreement should be under the common seal of the company, by all the partners in case of partnership concern. However, if any one of the partners holds a general power of attorney, he may execute the agreement on behalf of the remaining partners and furnish a certified true copy of the power of attorney. When the agreement is executed by a holder of general power of attorney, a certificate signed by all the partners on behalf of the firm to the effect that the general power of attorney is in force and not revoked as on the date of execution of the agreement, should be furnished.

Erasures, if any, should be properly attested No blank should be left in the agreement form.

The above agreement should be executed in the presence of Officer In charge, District Industries Centre / Joint Director (ID).

The sanction of this capital subsidy is subject to following conditions.

If the State Government is satisfied that the capital subsidy has been obtained by mis- representation of the essential facts, furnishing of false information or if the enterprise goes out of production within one year after receipt of subsidy,, the State Government shall have the right to claim refund of the capital subsidy of Rs. 100.00 lakhs sanctioned, together with interest as the State Government may charge.

The grantee shall not change the location of the whole or any part of the industrial enterprise or effect any contraction or disposal of a part of its total fixed capital investment within a period of one year after the enterprise receives this grant.

In the event of the capital subsidy being sanctioned, while at a later date found to be not actually due, the grantee shall refund to Government. Such portion or whole amount as determined by the Directorate of Industries and Commerce/Joint Director, District Industries Centre. In the event of the grantee failing of refund such amount, the same shall be recovered as arrears of land revenue.

Where the industrial enterprise goes out of production or remains closed without production activities within one year from the date of receipt of subsidy except in case where the enterprise remain out of production for short period extending not more than six months due in reasons beyond control, such as shortage of raw materials, power etc, the grantee shall refund to Government the entire subsidy received. If the enterprise fails to refund the subsidy amount the same shall be recovered as arrears of land revenue.

The capital subsidy sanctioned herein is in the nature of "Grant-in-aid" and shall not be construed as a "contract" with Government of Karnataka. The grantee shall not exercise his right of privity of contract in the matter of release of subsidy by Government of Karnataka, who shall release the subsidy amount as and when the grantee is eligible for the same, in such proportions and installments as the Government may regulate. The subsidy sanctioned will be released only through the concerned Financial Institutions/ Banks.

JOINT DIRECTOR (ID)

ANNEXURE-41

**Format for undertaking to be executed for ETP/Anchor units Investment Subsidy
(under 2009-14 policy)**

UNDERTAKING

The undertaking executed this day _____ 200 _____ by _____ carrying on the business of _____ under the name and style of M/s. _____ herein after called the 'Grantee' of the one part in favor of the Governor of Karnataka, represented by the Director of Industries and Commerce herein after called the Government of other part WITNESSETH.

Where as State Level Committee _____ vide Order No. _____ dated: _____ has sanctioned an Investment Subsidy of Rs. _____ (Rs. _____ only) under the Government of Karnataka scheme of Package of Incentives and Concessions (2009-14 Industrial Policy) new Industrial investment made in the State of Karnataka to the grantee for the purpose of his enterprise.

In consideration of the grant of Rs. _____ (Rs. _____ only) as per the Order No. _____ dated: _____ of the Joint Director (ID) the receipt whereof the grantee hereby acknowledges, the grantee agree and covenants as follows:

- (i) If the State Government/Financial Institution concerned is satisfied that the investment subsidy has been obtained by misrepresentation of essential fact, furnishing false information after the reimbursement of full subsidy, the State Government/Financial Institution concerned shall have the right to claim refund of the grant/subsidy of Rs. (Rs. Only) paid to the grantee together with such interest as the State Govt /Financial Institution concerned may charge.
- (ii) In the event of the subsidy being sanctioned, which at later date is found to be not actually due, the grantee shall refund to Government such portion or the whole amount as determined by the Joint Director, District Industries Centre/Director of Industries and Commerce. On failure to do so, such amount shall be recovered by the Government as arrears of land revenue.
- (iii) That this grant shall not be construed as a contract and shall be in the nature of Grant-in-Aid only and the provisions of the Indian Contract Act or that of Specific Relief Act or any other relative statutory Acts/Provisions shall not apply in the instant case.
- (iv) That the grantee shall not exercise his right of claiming release/reimbursement of subsidy amount out-of-turn either directly or through his attorney and that the decision of the Government in the matter of periodic release based on the availability of funds shall be final and binding on the grantee.
- (i) The Grantee shall undertake to provide employment to local people in accordance with Dr. Sarojini Mahishi Report and maintain the same atleast for a period of five years from the date of release of the subsidy amount. In case the unit fail to do shall bound to return the investment subsidy amount to the Government.

SCHEDULE:

Sl.No.	Particulars	Investment details (in rupees)
1	Land	Rs.
2	Building	Rs.
3.	Plant and Machinery	Rs.
4.	Erection / Electrification / transportation, etc.,	Rs.
5.	Other fixed assets (Please specify)	Rs.
	TOTAL	

In witness whereof the Grantee has set his hand the _____ day of _____
 _____ two thousand _____ first above mentioned.

Witness:

- 1.
- 2.

Signature of the Grantee1.

//Common Seal of the Company//
 Shall be affixed here.

“Attested”

Joint Director (DIC) / Joint Director (ID)

ANNEXURE – 42

Details of ESI/EPF Contribution paid by the enterprise: in respect of enterprises coming up in low HDI Districts. (under 2009-14 policy)

Name and address of the enterprise	Product	Name of the bank.	Date of production	No.of employees covered under ESI/EPF	
1	2	3	4	5	
				Local	Outsiders

EPF paid with dates and amounts in a year (ie only employers contribution)		ESI paid with dates and amounts in a year (ie only employers contribution)		Total Amount of EPF & ESI admissible in a year.	Remarks
6		7		8	9
Amt paid	Date of payment	Amt	Date		

Certified that above informations are true and correct

Name and Designation of the Enterprise

Certified that the above details are verified and found to be correct

Joint Director, DIC

ANNEXURE - 43

**Format of certificate to be issued from Bank for claiming Interest Subsidy:
(under 2009-14 policy)**

Name and address of the enterprise	Product	Name of the bank.	Date of sanction of loan and amount.	Amount of loan released with dates.	Rate of interest charged by bank.
1	2	3	4	5	6

Repayment schedule			Amount of principal and interest paid in a year [ie financial year]			Amount of interest subsidy admissible.	Remarks
7			8			9	10
Principal amt	Interest	Due date	Principal	Interest	Date of payment		

Name and signature of the
Bank Manager

ANNEXURE – 45

Proforma for claiming reimbursement of ESI/EPF contribution paid by the enterprise in respect of Enterprises following Reservation Policy (under 2009-14 policy)

Name and address of the enterprise	Product	Name of the bank.	Date of production	No.of employees covered under ESI/EPF	
1	2	3	4	5	
				Local	Outsiders

EPF paid with dates and amounts in a year (ie only employers contribution)		ESI paid with dates and amounts in a year (ie only employers contribution)		Total Amount of EPF & ESI admissible in a year.	Remarks
6		7		8	9
Amt paid	Date of payment	Amt	Date		

Certified that above informations are true and correct

Name and Designation of the Enterprise

Certified that the above details are verified and found to be correct

Joint Director, DIC

It is certified that the enterprise has employed employees as prescribed in the reservation policy of the State coming under reserved category.

Joint Director, DIC

ANNEXURE-46**FIXED INVESTMENT CERTIFICATE FROM THE BANK/FINANCIAL INSTITUTIONS
(ETP's) (UNDER 2009-14 POLICY)**

M/s. _____ of (location of the unit) _____
_____ have been disbursed as on this date amounts
under the loan amounting to Rs. _____ sanctioned under letter No. _____
Dated; _____ as shown below:

a) Term loan sanctioned	Date:	Amount in Rs.
b) Loan amount disbursed on	Date	Amount (Rs)
i)		
ii)		
iii)		
Total amount disbursed up to date.		
c) Value of investment in fixed assets.	As per project appraisal (Rs.)	Actual investment (mention period) (Rs.)
A) Land (excluding lease hold) i) For entire unit excluding ETP ii) For ETP only		
B) Building' (excluding residential, quarters, guest houses). i)For entire unit excluding ETP ii)For ETP only.		
C)Plant and Machinery i) For entire unit excluding ETP ii) For ETP only		
iv. Other Assets (please specify): i)For entire unit excluding ETP ii)For ETP only		

Certified that the sanction of financial assistance to M/s. _____
_____ for the plant at _____ has been considered on the basis of
the company's assessed requirement of factory buildings and the cost is estimated at Rs. _____
_____ as per the project report.

We certify that this investment certificate is issued after verifying the bills and vouchers made available by the unit for purposes of release of term loan installments and based on the physical verification of the inspecting officers. Items for which subsidy is not admissible are not included in the investment certified above.

We further certify that the investment made after 01.04.2006 are only included.

We are in possession of relevant bill and vouchers on which this investment certificate is issued and we agree to make available the same as and when required for any verification purpose before the loan liability is discharged.

NOTE:

1. Please specify the period during which investment has been made on land building and plant and machinery.
2. Please furnish the list of machineries with corresponding value.
3. The investment made on old machines/building should be excluded.
4. Investment made earlier to the date of eligibility for subsidy should not be Included.

Signature of the Branch
Manager/Manager/Agent

Place:

Date ;

(Name of the Institution)

//SEAL//

ANNEXURE-47**CHARTERED ACCOUNTANT CERTIFICATE (for ETP)
REQUIRED UNDER 2009-14 POLICY**

Name of the Chartered Accountant We hereby certify
that M/s..... (name of the indl. enterprise) Have
acquired the following fixed assets up to _____ for the enterprise at _____ (address) for
manufacture of _____ (name of products).

Item of fixed assets.	Period during which investment is made(date of payment)		Value Rs.
1.Land i) For entire enterprise excluding ETP ii)For ETP only			
2. Factory building (please specify period. i) For entire enterprise excluding ETP			
a. Amount paid to the Building contractors	From	To	Rs.
b. Amount paid for building materials	From	To	Rs.
c. Amount paid for wages and Salaries etc, for the Building constructions.	From	To	Rs.
(excluding architect's fees and wages paid for supervision staff etc)			
ii) For ETP only:			
a. Amount paid to the Building contractors	From	To	Rs.
b. Amount paid for building materials	From	To	Rs.
c. Amount paid for wages and Salaries etc, for the Building constructions.	From	To	Rs.
(excluding architect's fees and wages paid for supervision staff etc)			
4.Electrical installations (excluding KEB deposits) i) For entire enterprise excluding ETP ii) For ETP only			Rs.
5. Plant and Machinery i) For entire enterprise excluding ETP ii) For ETP only			Rs.
6. Loading, unloading, transportation, erection expenses etc i)For entire enterprise excluding ETP ii)For ETP only			Rs.
7. Misc. fixed assets(Please specify items) i)For entire enterprise excluding ETP ii)For ETP only			Rs.

We have checked the books of account of the enterprise, bills, invoices and payment vouchers, etc, and certify that the aforesaid information is verified and certified to be true. We also certify that all the aforesaid items have been duly paid for and no credit is raised against them in the books of the enterprise.

Certified that the investments certified above do not include items for which subsidy is not allowed. Expenditure incurred on following items are not included.

A. LAND

- i) Value of the open land not utilized for construction, leaving the area proposed for immediate expansion.
- ii) Expenditure incurred for land scraping for beautification and expenditure on unsuccessful open wells/bore wells.

B. BUILDING

- i) Expenditure on unproductive construction like guest house, workers/staff quarters, canteens, cycle/scooter stands, garages, etc.
- ii) Expenditure incurred on beautification of buildings, arches, decorative lights including street lights, furniture and air conditioners, water coolers, refrigerators such of the gadgets not directly connected with production.
- iii) Expenditure incurred on the purchase of old buildings.

C. PLANT AND MACHINERY

- i) All consumable materials like tool bits, files, oils, etc.
- ii) Investments on tools jigs/fixtures, moulds etc, which are required repeatedly, should not be allowed for the second time and onwards. While considering the investments made on first purchases, only the required quantify of such items are to be allowed. Extra quantities taken as spares are not to be allowed.
- iii) Second hand machines purchased shall not be included.

Date :

Place : // SEAL //

CHARTERED ACCOUNTANT.

**CHARTERED ENGINEER'S / ARCHITECT'S CERTIFICATE (ETP's)
(REQUIRED UNDER 2009-14 POLICY)**

I, hereby certify that as against the estimated cost of Rs.....
(Rupees).....of the building and civil works for
M/s..... for their proposed projects, At Plot
No.....the unit has so far completed the civil works as under.

01	Value of completed civil works as per the estimates i) For entire unit excluding ETP ii) For ETP only	Rs.
02	Amount certified for payment to the building contractors(Civil and structural) i) For entire unit excluding ETP ii) For ETP only	Rs.
03	Retention Money (from civil contractor) i) For entire unit excluding ETP ii) For ETP only	Rs.
04	Value of materials Utilized for the completed portion of the building i) For entire unit excluding ETP ii) For ETP only	Rs.

The value of completed building certified above does not involve the area Built for guest house, and residential building in the factory site.

It is further certified that built are of the building is absolutely essential for the manufacturing / processing activity of the industry. A detailed estimate sheet is appended to this certificate.

Place:

// SEAL//

Signature and

Date:

full address.

ANNEXURE – 49

Proforma for claiming funds from Head Office towards the reimbursement of cost incurred for preparation of project report. (under 2009-14 policy)

Name and address of the enterprise	Product	Name of the bank.	Date of sanction of loan and amount.	Amount of loan released with dates.	Date of production
1	2	3	4	5	6

Amount paid to TECSOK/ CEDOK/ KSFC for preparation of project report.	Admissible amount for refund of cost incurred for preparation of project report.	Remarks
7	8	9

Name and signature of the
Joint Director, DIC


Karnataka Council for Technological Upgradation

(A Joint Venture of Government of Karnataka and Government of India)

4th Floor, Basava Bhavan, Basaveshwara Circle, Bengaluru – 560 001

Tel: 080-22250772/22266348; Fax: 080-2228667, e-mail:md-kctu-ka@nic.in

**Application for Reimbursement of ISO/ISI Series Certification Charges
(under 2009-14 policy)**

1. Details of Enterprise	
a) Name of the Enterprise	:
b) Name of the Entrepreneur	:
c) Constitution	:
d) Office Address	:
e) Factory Address	:
f) Contact Details (Telephones & Mobiles)	:
g) e-Mail	:
h) Website	:
2. Product / Products manufactured	:
3. EM (PART-II) No. & Date	:
4. Investment (Rs. in lakhs):	
(a) Plant & Machinery	
(b) Total	:
5. Number of Persons Employed	:
6. Production during last three years (Rs. in lakhs)	
a)	:
b)	:
c)	:
7. Exports, if any – during last three years (Rs. in lakhs)	
a)	:
b)	:
c)	:

8. Details about the ISO/ISI Certificate

- a) Mention the Series of ISO/ISI Certification and Certifying Agency (Copy of certificate to be enclosed) :
- b) Date of Issue and Period of Validity :
- c) Reimbursement or Grant or Subsidy already received, if any, from Central Govt. or State Govt. :

9. Enclosures to be submitted along with the Application:

- a. The copy of the EM (PART-II) (Duly Attested Copy). :
- b. The copy of the ISO/ISI Certificate (Duly Attested copy) :
- c. Detailed statement of expenses incurred for obtaining certificate along with the copies of invoices & receipts. (As in **Annexure – A**) :
- d. Chartered Accountant (CA) Certificate in proof of Investment on Plant & Machinery (Original). As in **Annexure –B**) :
- e. An Affidavit by the Proprietor/ Partner/ Director of the unit stating that the status of the unit is a Micro, Small Enterprises & also declaration stating that this incentive has not availed earlier from any other Government Agency (Original). As in **Annexure – C**) :
- f. Quality Manual of the Company (Duly certified Copy). :

DECLARATION

I, (full name)..... S/o..... Managing Director /
Director / Proprietor/ Partner of M/s

(Complete address), do hereby solemnly affirm and declare that the particulars stated above in the application are true and correct to the best of my knowledge, information and belief. In case any of the Statement / Information furnished in the application or documents are found to be wrong or incorrect or misleading, I do hereby bind myself and my / our unit to pay to the Government on demand the full amount received as reimbursement in respect of the above mentioned activity, within 7 (Seven) days of demand being made to me in writing.

Place:

Signature and Seal

Date:

(Proprietor/Mg. Partner/Chief Executive)

NOTE: Your application for Incentive will be processed only after you complete all the Requirements.

For Office Use

Application No:

Remarks:



Karnataka Council for Technological Upgradation

(A Joint Venture of Government of Karnataka and Government of India)

4th Floor, Basava Bhavan, Basaveshwara Circle, Bengaluru – 560 001

Tel: 080-22250772/22266348; Fax: 080-2228667, e-mail:md-kctu-ka@nic.in

Application for Claiming Incentives for Patent Registration Charges (UNDER 2009-14 POLICY)

1. Details of Enterprise	
a) Name of the Enterprise.	:
b) Name of the Entrepreneur	:
c) Constitution	:
d) Office Address	:
e) Factory Address	:
f) Contact Details (Telephones & Mobiles)	:
g) e-Mail	:
h) Website	:
2. Product / Products manufactured	:
3. EM (PART-II) No. & Date	:
4. Investment (Rs. in lakhs):	
(a) Plant & Machinery	:
(b) Total	:
5. Number of Persons Employed	:
6. Production during last three years (Rs. in lakhs)	:
a) :	
b) :	
c) :	
7. Exports, if any –during last three years (Rs. in lakhs)	
a) :	
b) :	
c) :	

- | | |
|---|---|
| 8. Details of Patent Registration | |
| a) Title of the Invention | : |
| b) Brief note on Invention | : |
| c) Scope of the Invention | : |
| d) Date of Filing for Patent Registration | : |
| e) Patent Registration Number and Date of Grant of Patent | : |
| f) Advantages of the Invention | : |
| g) Total Cost of Patent Registration | : |

9. Enclosures to be submitted alongwith the Application:

- | | |
|--|---|
| a) The copy of the EM (PART-II) (Duly Attested Copy) | : |
| b) The Copy of Certificate of Patent Registration from Patent Office | : |
| c) Invention Specifications, Drawings / Diagrams with neatly labeled | : |
| d) The copy of the ISO / ISI Certificate (If any, Duly Attested copy) | : |
| e) Detailed statement of expenses incurred towards the Patent Registration along with the copies of invoices & receipts. (As in Annexure –D) | : |
| f) Chartered Accountant (CA) Certificate in proof of Investment on Plant & Machinery (Original). (As in Annexure –B) | : |
| g) An Affidavit by the Proprietor/ Partner/ Director of the unit stating that the status of the unit is a Micro, Small Enterprises & also declaration stating that this incentive has not availed earlier from any other Government Agency (Original). (As in Annexure –E) | : |

DECLARATION

I, (full name)..... S/o..... Managing Director /
Director / Proprietor/ Partner of M/s

.....
(Complete address), do hereby solemnly affirm and declare that the particulars stated above in the application are true and correct to the best of my knowledge, information and belief. In case any of the Statement / Information furnished in the application or documents are found to be wrong or incorrect or misleading, I do hereby bind myself and my / our unit to pay to the Government on demand the full amount received as reimbursement in respect of the above mentioned activity, within 7 (Seven) days of demand being made to me in writing.

Place: Signature and Seal
Date: (Proprietor/Mg. Partner/Chief Executive)

NOTE: Your application for Incentive will be processed only after you complete all the Requirements.

For Office Use

Application No:

Remarks:


Karnataka Council for Technological Upgradation

(A Joint Venture of Government of Karnataka and Government of India)

4th Floor, Basava Bhavan, Basaveshwara Circle, Bengaluru – 560 001

Tel: 080-22250772/22266348; Fax: 080-2228667, e-mail:md-kctu-ka@nic.in

Application for Incentive for Cost of Technology Transfer (UNDER 2009-14 POLICY)

1. Details of Enterprise	
a) Name of the Enterprise.	:
b) Name of the Entrepreneur	:
c) Constitution	:
d) Office Address	:
e) Factory Address	:
f) Contact Details (Telephones & Mobiles)	:
g) e-Mail	:
h) Website	:
2. Product / Products manufactured	:
3. EM (PART-II) No. & Date	:
4. Investment (Rs. in lakhs):	
(a) Plant & Machinery	:
(b) Total	:
5. Number of Persons Employed	:
6. Production during last three years (Rs. in lakhs)	:
a)	:
b)	:
c)	:
7. Exports, if any –during last three years (Rs. in lakhs)	
a)	:
b)	:
c)	:

8. Details of Technology Transfer

- | | |
|--|---|
| a) Title of the Technology | : |
| b) Brief note on Technology | : |
| c) Name of the Organisation from which
Technology Adopted | : |
| d) Nature of License: Exclusive or Non-Exclusive | : |
| e) Period of License in Years | : |
| f) Total Cost of Technology Transfer | : |

9. Enclosures to be submitted alongwith the Application:

- | | |
|--|---|
| a) The copy of the EM (PART-II) (Duly Attested Copy). | : |
| b) The Copy of Certificate for successful transfer of technology issued by transferor | : |
| c) IAn Agreement Copy between Transferor and Transferee for Technology Transfer | : |
| d) The copy of the ISO / BIS Certificate (If any, Duly Attested copy) | : |
| e) Detailed statement of expenses incurred for technology transfer along whith the copies of invoices & receipts. (As in Annexure –F) | : |
| f) Chartered Accountant (CA) Certificate in proof of Investment on Plant & Machinery (Original). (As in Annexure –B) | : |
| g) An Affidavit by the Proprietor/ Partner/ Director of the unit stating that the status of the unit is a Micro, Small Enterprises & also declaration stating that this incentive has not availed earlier from any other Government Agency (Original). (As in Annexure –G) | : |

DECLARATION

I, (full name)..... S/o..... Managing Director /
Director / Proprietor/ Partner of M/s

.....
(Complete address), do hereby solemnly affirm and declare that the particulars stated above in the application are true and correct to the best of my knowledge, information and belief. In case any of the Statement / Information furnished in the application or documents are found to be wrong or incorrect or misleading, I do hereby bind myself and my / our unit to pay to the Government on demand the full amount received as reimbursement in respect of the above mentioned activity, within 7 (Seven) days of demand being made to me in writing.

Place: Signature and Seal
Date: (Proprietor/Mg. Partner/Chief Executive)

NOTE: Your application for Incentive will be processed only after you complete all the Requirements.

For Office Use

Application No:

Remarks:


Karnataka Council for Technological Upgradation

(A Joint Venture of Government of Karnataka and Government of India)

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Tel: 080-22250772/22266348; Fax: 080-2228667, e-mail:md-kctu-ka@nic.in

Application for Claiming Incentives for Adopting Energy Conservation Measures and Non-Conventional Energy Resources (under 2009-14 policy)

1. Details of Enterprise	
a) Name of the Enterprise.	:
b) Name of the Entrepreneur	:
c) Constitution	:
d) Office Address	:
e) Factory Address	:
f) Contact Details (Telephones & Mobiles)	:
g) e-Mail	:
h) Website	:
2. Product / Products manufactured	:
3. EM (PART-II) No. & Date	:
4. Investment (Rs. in lakhs):	
(a) Plant & Machinery	:
(b) Total	:
5. Number of Persons Employed	:
6. Production during last three years (Rs. in lakhs)	:
a)	:
b)	:
c)	:
7. Exports, if any –during last three years (Rs. in lakhs)	
a)	:
b)	:
c)	:

- | | |
|---|--|
| <p>8. Details of Energy Conservation Measures / Non-Conventional Energy Sources</p> <p>a) Mention the Energy Consumption in last three years</p> <p>b) List the Energy Conservation Measures with their scope and advantages (Add separate pages if the space is insufficient)</p> <p>c) The amount of energy consumption after the measures for a period of six months</p> <p>d) Whether the Enterprise is using the non-conventional energy sources for their energy requirement? Yes or No</p> <p>e) If the answer is Yes to the above, then specify the type of the Non-Conventional Energy Sources used along with their Capacity</p> <p>f) Total investment on the Energy Conservation Measures / Use of Non-Conventional Energy Sources</p> | <p>:</p> <p>:</p> <p>:</p> <p>:</p> <p>:</p> <p>:</p> <p>:</p> |
|---|--|

9. Enclosures to be submitted alongwith the Application :

- | | |
|---|--|
| <p>a) The copy of the EM (PART-II) (Duly Attested Copy).</p> <p>b) The copy of the ISO / ISI Certificate (If any, Duly Attested copy)</p> <p>c) A Certificate from the Chartered Engineer</p> <p>d) A Certificate from the Bureau of Energy Efficiency or KREDL regarding the specified reduction in the energy consumption</p> <p>e) Detailed statement of expenses incurred towards the Energy Conservation Measures / Non-Conventional Energy Sources along with the copies of invoices & receipts. (As in Annexure –H)</p> <p>f) Chartered Accountant (CA) Certificate in proof of Investment on Plant & Machinery (Original). (As in Annexure –B)</p> <p>g) An Affidavit by the Proprietor/Partner/Director of the unit stating that the status of the unit is a Small & Medium Enterprises & also declaration stating that this incentive has not availed earlier from any other Government Agency (Original). (As in Annexure –I)</p> | <p>:</p> <p>:</p> <p>:</p> <p>:</p> <p>:</p> <p>:</p> <p>:</p> |
|---|--|

DECLARATION

I, (full name)..... S/o..... Managing Director /
Director / Proprietor/ Partner of M/s
.....(Complete
address), do hereby solemnly affirm and declare that the particulars stated above in the application are
true and correct to the best of my knowledge, information and belief. In case any of the Statement /
Information furnished in the application or documents are found to be wrong or incorrect or misleading,
-I do hereby bind myself and my / our unit to pay to the Government on demand the full amount received
as reimbursement in respect of the above mentioned activity, within 7 (Seven) days of demand being
made to me in writing.

Place:

Signature and Seal

Date:

(Proprietor/Mg. Partner/Chief Executive)

NOTE: Your application for Incentive will be processed only after you complete all the Requirements.

For Office Use

Application No:

Remarks:


Karnataka Council for Technological Upgradation

(A Joint Venture of Government of Karnataka and Government of India)

4th Floor, Basava Bhavan, Basaveshwara Circle, Bengaluru – 560 001

Tel: 080-22250772/22266348; Fax: 080-2228667, e-mail:md-kctu-ka@nic.in

Application for Claiming Incentives for Adopting Rain Water Harvesting Technology / Waste Water Recycling / Zero Discharging(under 2009-14 policy)

1. Details of Enterprise	
a) Name of the Enterprise.	:
b) Name of the Entrepreneur	:
c) Constitution	:
d) Office Address	:
e) Factory Address	:
f) Contact Details (Telephones & Mobiles)	:
g) e-Mail	:
h) Website	:
2. Product / Products manufactured	:
3. EM (PART-II) No. & Date	:
4. Investment (Rs. in lakhs):	
(a) Plant & Machinery	:
(b) Total	:
5. Number of Persons Employed	:
6. Production during last three years (Rs. in lakhs)	
a)	:
b)	:
c)	:
7. Exports, if any –during last three years (Rs. in lakhs)	
a)	:
b)	:
c)	:

**8. Details of Rain Water Harvesting Technology/
Water Recycling/Zero Discharge Process**

- | | |
|---|---|
| a) The design of the rain water harvesting technology/
Waste Water Recycling/Zero Discharge Process | : |
| b) Water Storage Capacity in case of rain water
harvesting | : |
| c) Quantity of Processed Water per day & reused by
waste water recycling / Zero Discharge Process | : |
| d) Whether Ground water recharging facility is
provided or not? | : |
| e) Total Cost of rain water harvesting technology /
Waste Water Recycling / Zero Discharging Process | : |

9. Enclosures to be submitted along with the Application:

- | | |
|---|---|
| a) The copy of the EM (PART-II) (Duly Attested Copy). | : |
| b) The copy of the ISO / ISI Certificate (If any, Duly
Attested copy) | : |
| c) Detailed statement of expenses incurred towards
adopting the rain water harvesting technology /
Waste Water Recycling / Zero Discharging Process
along with the copies of invoices & receipts. (As in
Annexure – J) | : |
| d) Chartered Engineers Certificate for the investment
on Construction & installation works for the
rainwater harvesting technology / Waste Water
Recycling / Zero Discharging Process | : |
| e) Chartered Accountant (CA) Certificate in proof of
Investment on Plant & Machinery (Original). (As in
Annexure – B) | : |
| f) An Affidavit by the Proprietor/ Partner/ Director of
the unit stating that the status of the unit is a Micro,
Small Enterprises & also declaration stating that this
incentive has not availed earlier from any other
Government Agency (Original). (As in Annexure – K) | : |

DECLARATION

I, (full name)..... S/o..... Managing Director / Director / Proprietor/ Partner of M/s (Complete address), do hereby solemnly affirm and declare that the particulars stated above in the application are true and correct to the best of my knowledge, information and belief. In case any of the Statement / Information furnished in the application or documents are found to be wrong or incorrect or misleading, I do hereby bind myself and my / our unit to pay to the Government on demand the full amount received as reimbursement in respect of the above mentioned activity, within 7 (Seven) days of demand being made to me in writing.

Place:

Signature and Seal

Date:

(Proprietor/Mg. Partner/Chief Executive)

NOTE : Your application for Incentive will be processed only after you complete all the Requirements.

For Office Use

Application No:

Remarks:

**Composition of District Level Single Window Clearance Committee as per Government of
Karnataka Notification No. CI 208 SPI 2002, Bangalore, Dated 4th August, 2004**

1. The Deputy Commissioner of the concerned District - Chairman
2. The CEO, Zilla Panchayath of the concerned District - Vice Chairman
3. The Jurisdiction Executive Engineer, KPTCL - Member
4. District level officer of the Karnataka State Pollution Control Board - Member
5. The Jurisdictional Representative of KSFC - Member
6. The Lead District Manger of the Lead Bank - Member
7. District level officers of Tourism, Agriculture, Horticulture and Information Technology Departments. - Member
8. The Jurisdictional Joint or Deputy Commissioner of Commercial Taxes - Member
9. The Branch Manager, Karnataka Small Scale Industries Development Corporation - Member
10. The Representative of Karnataka Industrial Areas Development Board - Member
11. The Commissioner, Urban Development Authority - Member
12. The Assistant Director, Town Planning - Member
13. The Commissioner or Chief Officer of City Municipal Corporation or Municipal Council - Member
14. The Deputy Chief Inspector of Factories and Boilers - Member
15. The Assistant Director, Kannada and Culture Associations - Member
16. District level officer of Khadi and Village Industries Board - Member
17. Regional Director, Dept. of Ecology and Environment - Member
18. The Joint Director of the respective District Industries Centre - Member Secretary

Chairman can invite any other members if necessary.

**Composition of State Level Single Window Clearance Committee as per Government of
Karnataka Notification No. CI 162 SPI 2001, Bangalore, Dated 26th July, 2004**

- | | | |
|-----|---|-----------------|
| 1. | The Hon'ble Minister for Large and Medium Scale Industries | - Chairman |
| 2. | The Principal Secretary to Govt., Commerce and Industries Dept. | - Vice Chairman |
| 3. | The Principal Secretary to Govt., Kannada & Culture Dept. | - Member |
| 4. | The Secretary, Food Processing & Post Harvest Technology Dept | - Member |
| 5. | The Secretary to Govt., IT & BT Dept. | - Member |
| 6. | The Secretary to Govt., Dept. of Ecology & Environment | - Member |
| 7. | The Joint Secretary / Dy. secretary, Urban Development Dept. | - Member |
| 8. | The Principal Secretary to Govt., Revenue Dept. | - Member |
| 9. | The Joint Secretary / Dy. Secretary, PWD | - Member |
| 10. | The Addl. Secretary to Govt., Infrastructure Development Dept | - Member |
| 11. | The MD, KSIIDC | - Member |
| 12. | The Managing Director, KSFC | - Member |
| 13. | The MD, KPTCL | - Member |
| 14. | The Member Secretary, Karnataka State Pollution Control Board | - Member |
| 15. | The Commissioner for Industrial Development and Director of Industries and Commerce | - Member |
| 16. | The Commissioner for Tourism | - Member |
| 17. | The Commissioner for Commercial Taxes | - Member |
| 18. | The Director of Factories & Boilers | - Member |
| 19. | The Director Technical Cell, Commerce & Industries Dept. | - Member |
| 20. | The Deputy Secretary to Govt., Irrigation Dept. | - Member |
| 21. | The Managing Director, Karnataka Udyog Mitra | - Member |

**Composition of State High Level Clearance Committee as per Government of Karnataka
Notification No. CI 162 SPI 2001, Bangalore, dated 21st August, 2002 and corrigendum
Dated 23rd December, 2005**

1. The Hon'ble Chief Minister - Chairman
2. The Hon'ble Minister for Large & Medium Industries, Small Scale Industries, Infrastructure - Vice Chairman
3. The Hon'ble Minister for Tourism - Member
4. The Hon'ble Minister for Information Technology & Bio Technology - Member
5. The Hon'ble Minister for Agriculture & Horticulture - Member
6. The Chief Secretary, Government of Karnataka - Member
7. The ACS & Principal Secretary to Govt., Finance Dept. - Member
8. The ACS & Principal Secretary, Infrastructure Development Dept - Member
9. The Principal Secretary to Govt., Commerce & Industries Dept. - Member
10. The Principal Secretary to Govt., Revenue Dept. - Member
11. The Principal Secretary to Govt., Energy Dept. - Member
12. The Principal Secretary to Govt., Urban Development Dept. - Member
13. The Principal Secretary to Govt., Public Works Dept. - Member
14. The Secretary to Govt., IT & BT Dept - Member
15. The Secretary to Govt., Irrigation Dept. - Member
16. The Principal Secretary to Govt., Forest, Ecology & Environment Dept. - Member
17. The Principal Secretary to Govt., Labour Dept. - Member
18. The Principal Secretary to Govt., Agriculture & Horticulture - Member
19. The Principal Secretary to Govt., Planning Dept. - Member
20. The Principal Secretary to Govt. Kannada & Culture Dept. - Member
21. The Secretary to Govt., Information, Tourism & Youth Services - Member
22. The MD, KSIIDC - Member
23. The MD, KPTCL - Member
24. The Chairman, Karnataka State Pollution Control Board - Member
25. The CEO & EM, KIADB - Member
26. The Commissioner for Commercial Taxes - Member
27. Secretary to Govt., Commerce & Industries Dept. - Member
28. The Commissioner for Industrial Development and Director of Industries and Commerce. - Member Secretary

Annexure – A**Detailed Statement of Expenditure incurred for Obtaining ISO/ISI Certificate**

As per the documents and records of M/s. _____ with registered office at _____ and factory is located at _____ and EM / SSI Registration number: _____ Dated: _____. The enterprise has incurred a total expenditure of Rs. _____ (Rupees _____ Only) towards the application fee, assessment / audit fee, annual fee / license fee, training, calibration, and technical consultancy charges etc. for acquiring ISO/ISI Series Certificate from the Certifying Agency viz., _____.

The categorization of expenditure is as follows:

Sl. No.	Details of Payments	Certifying Agency / Organisation	Amount (Rs.)	Remarks
a)	Application Fee			
b)	Assessment / Audit Fee			
c)	Annual / License Fee			
d)	Calibration/Testing Charges			
e)	Technical Consultancy Charges			
f)	Training Expenses			
Total				

(Note: The above details of payments must be supported by the duly attested copies of invoices and receipts of payments made towards acquiring the ISO/ISI Series Certificate.)

Place:

Date:

Signature and Seal
(Proprietor/Mg. Partner/Chief Executive)

Annexure – B

**Chartered Accountant (CA) Certificate of Investment on Plant & Machinery
(On C.A. Letter Head)**

To whom so ever It May Concern

Verified from the Books of Accounts of M/s _____, with their Regd. Office at _____, and factory located at _____, and E.M. No: _____ dated: _____ that the total investment on Plant and Machinery (original purchase value) of the company as on date of Application i.e., on _____ or 31st March of Preceding financial year stands as Rs. _____ (Rupees _____).

Name & Signature of the Chartered Accountant
with Stamp and Membership Number.

Place:

Date:

AFFIDAVIT

I, S/o. Sri., aged.....years, Managing Director / Director / Proprietor / Partner,* M/s with their Regd. Office at & Factory located atwith E.M. No:..... dated:..... do hereby solemnly affirm and declare as under:

The Company / Firm / Establishment has been an Ancillary /Micro / Small Enterprises* unit as per the Govt. of India definition; and has been functional & in production at the time of acquiring ISO/ISI Certification No: Date:.....

The Company/Firm/Establishment continues to be a Ancillary /Micro / Small Enterprises * unit; and functional & in production as on date.

As per books of accounts, the total investment (original purchase value) on plant and machinery in the Company / Firm / Establishment* as on is Rs..... (As per Chartered Accountant Certificate dated: to this effect is attached).

(i) That the aforesaid Company/Firm/Establishment(s)* have not availed reimbursement /subsidy /grant /incentive* for acquiring, ISO/ISI Certification under any Scheme operated by Central Govt. / State Govt. / Financial Institution* etc.

or

(ii) That the aforesaid Company/Firm/Establishment(s)* have claimed & received reimbursement/subsidy/ grant/incentive for acquiring ISO/ISI Certification amounting to Rs_____ (Rupees _____ from _____(Name of the Central Govt. /State Govt. Dept./Financial Institution* vide Draft/ Cheque* No:_____ Date: _____ of _____(Name of Bank).

(i) That the aforesaid Company / Firm / Establishment(s)* have already applied to _____ (Name of the Central Govt / State Govt/ Financial Institution)* vide application dated: _____for reimbursement/ subsidy/ grant /incentive* for acquiring ISO/ISI Certification.

or

(ii) That the aforesaid Company/Firm/Establishment(s)* have not applied to any Central Govt./State Govt./ Financial Institution (except KCTU, Bangalore, Govt. of Karnataka), for reimbursement / subsidy /grant / incentive*, for acquiring ISO/ISI Certification.

That after availing reimbursement for ISO/ISI Certification in respect of the said Company /Firm / Establishment(s)*, I shall disclose this fact on behalf of the said Company /Firm /Establishment(s)* at the time of claiming/ receiving reimbursement/ subsidy/grant/incentive*, if any, under any other similar scheme run by Central Govt./State Govt. /Financial Institutions* etc.

Signed on this day of _____ Date: _____

DEPONENT

VERIFICATION

I hereby solemnly affirm that the information given above is correct. In case above declaration is found wrong or incorrect or misleading, I do hereby bind myself & my unit and undertake to pay to the Government on demand the full amount received as reimbursement in respect of above mentioned activity, within seven days of the demand being made to me in writing.

DEPONENT

Place:

Date:

Note

* Strike out whichever is not applicable.

(Affidavit must be on Stamp Paper of Value of Rs.20/- duly sworn in before a Public Notary (Duly affixed with Notary Stamp, Notary Seal and Notary Registration number) or First Class Magistrate.)

Annexure-D

Detailed Statement of Expenditure incurred for adoption of Technology

As per the documents and records of M/s. _____ with registered office at _____ and factory is located at _____ and EM Part-II number: _____ Dated: _____. The enterprise has incurred a total expenditure of Rs. _____ (Rupees _____ Only) for Granting Patent for its invention from the Certifying Agency / Organisation viz., _____.

The categorization of expenditure is as follows:

Sl. No.	Details of Payments	Certifying Agency / Organisation	Amount (Rs.)	Remarks
a)	Patent Office Fee Application Fee (India /Abroad) Prior Art Search Fee Request for Examination Fee Annual Fee			
b)	Attorney Drafting Charges			
e)	Consultancy Charges			
Total				

(Note: The above details of payments must be supported by the duly attested copies of invoices and receipts of payments made towards Patent Registration.)

Place: Signature and Seal

Date: (Proprietor/Mg. Partner/Chief Executive)

AFFIDAVIT

I, S/o. Sri., aged.....years, Inventor / Managing Director/ Director / Proprietor / Partner,* M/s with their Regd. Office at & Factory located atwith E.M. No:..... dated:..... do hereby solemnly affirm and declare as under:

The Company / Firm / Establishment has been a Ancillary /Micro/ Small Enterprise* as per the Govt. of India definition; and has been functional & in production at the time of Granting Patent by the Patent Office and Registration No..... Date:.....

The Company/Firm/Establishment continues to be a Ancillary /Micro/ Small Enterprise* and functional & in production as on date.

As per books of accounts, the total investment (original purchase value) on plant and machinery in the Company / Firm / Establishment* as on is Rs..... (As per Chartered Accountant Certificate dated: to this effect is attached).

(i) That the aforesaid Company/Firm/Establishment(s)* have not availed reimbursement /subsidy /grant /incentive* for Patent Registration under any Scheme operated by Central Govt. / State Govt. / Financial Institution* etc.

or

(ii) That the aforesaid Company/Firm/Establishment(s)* have claimed & received reimbursement/subsidy/ grant/incentive for Patent Registration amounting to Rs_____ (Rupees _____ from _____(Name of the Central Govt. /State Govt. Dept./Financial Institution* vide Draft/Cheque* No:_____ Date: _____ of _____(Name of Bank).

(i) That the aforesaid Company / Firm / Establishment(s)* have already applied to _____ (Name of the Central Govt / State Govt/ Financial Institution)* vide application dated: _____for reimbursement/ subsidy/ grant /incentive* for Patent Registration.

or

(ii) That the aforesaid Company/Firm/Establishment(s)* have not applied to any Central Govt./State Govt./ Financial Institution (except KCTU, Bangalore, Govt. of Karnataka), for reimbursement / subsidy /grant / incentive*, for Patent Registration.

That after availing reimbursement for Patent Registration Charges in respect of the said Company /Firm /Establishment(s)*, I shall disclose this fact on behalf of the said Company /Firm /Establishment(s)* at the time of claiming/ receiving reimbursement/ subsidy/grant/incentive*, if any, under any other similar scheme run by Central Govt./State Govt. /Financial Institutions* etc.

Signed on this day of _____ Date: _____

DEPONENT

VERIFICATION

I hereby solemnly affirm that the information given above is correct. In case above declaration is found wrong or incorrect or misleading, I do hereby bind myself & my unit and undertake to pay to the Government on demand the full amount received as reimbursement in respect of above mentioned activity, within seven days of the demand being made to me in writing.

DEPONENT

Place:

Date:

Note

* Strike out whichever is not applicable.

(Affidavit must be on Stamp Paper of Value of Rs.20/- duly sworn in before a Public Notary (Duly affixed with Notary Stamp, Notary Seal and Notary Registration number) or First Class Magistrate.)

Annexure-F

Detailed Statement of Expenditure incurred for adoption of Technology

As per the documents and records of M/s. _____ with registered office at _____ and factory is located at _____ and EM / SSI Registration number: _____ Dated: _____. The enterprise has incurred a total expenditure of Rs. _____ (Rupees _____ Only) for adopting Technology from the Certifying Agency / Organisation viz., _____.

The categorization of expenditure is as follows:

Sl. No.	Details of Payments	Certifying Agency / Organisation	Amount (Rs.)	Remarks
a)	Premium Technology Fee			
b)	Technology Royalty Fee			
d)	Calibration/Testing Charges			
e)	Technical Consultancy Charges			
f)	Training Expenses			
Total				

(Note: The above details of payments must be supported by the duly attested copies of invoices and receipts of payments made towards adopting Technology.)

Place:

Signature and Seal

Date:

(Proprietor/Mg. Partner/Chief Executive)

AFFIDAVIT

I, S/o. Sri., aged.....years, Managing Director / Director / Proprietor / Partner,* M/s with their Regd. Office at & Factory located atwith E.M. No:..... dated:..... do hereby solemnly affirm and declare as under:

The Company / Firm / Establishment has been a Ancillary /Micro/ Small Enterprise* as per the Govt. of India definition; and has been functional & in production at the time of adopting Technology with License No..... Date:.....

The Company/Firm/Establishment continues to be a Ancillary /Micro/ Small Enterprise* and functional & in production as on date.

As per books of accounts, the total investment (original purchase value) on plant and machinery in the Company / Firm / Establishment* as on is Rs..... (As per Chartered Accountant Certificate dated: to this effect is attached).

(i) That the aforesaid Company/Firm/Establishment(s)* have not availed reimbursement /subsidy /grant /incentive* for adopting Technology under any Scheme operated by Central Govt. / State Govt. / Financial Institution* etc.

or

(ii) That the aforesaid Company/Firm/Establishment(s)* have claimed & received reimbursement/subsidy/ grant/incentive for adopting Technology amounting to Rs_____ (Rupees _____ from _____(Name of the Central Govt. /State Govt. Dept./Financial Institution* vide Draft/Cheque* No:_____ Date: _____ of _____(Name of Bank).

(i) That the aforesaid Company / Firm / Establishment(s)* have already applied to _____ (Name of the Central Govt / State Govt/ Financial Institution)* vide application dated: _____for reimbursement/ subsidy/ grant /incentive* for adopting Technology.

or

(ii) That the aforesaid Company/Firm/Establishment(s)* have not applied to any Central Govt./State Govt./ Financial Institution (except KCTU, Bangalore, Govt. of Karnataka), for reimbursement / subsidy /grant / incentive*, for adopting Technology.

That after availing reimbursement for adopting Technology in respect of the said Company /Firm / Establishment(s)*, I shall disclose this fact on behalf of the said Company /Firm /Establishment(s)* at the time of claiming/ receiving reimbursement/ subsidy/grant/incentive*, if any, under any other similar scheme run by Central Govt./State Govt. /Financial Institutions* etc.

Signed on this day of _____ Date: _____

DEPONENT

VERIFICATION

I hereby solemnly affirm that the information given above is correct. In case above declaration is found wrong or incorrect or misleading, I do hereby bind myself & my unit and undertake to pay to the Government on demand the full amount received as reimbursement in respect of above mentioned activity, within seven days of the demand being made to me in writing.

DEPONENT

Place:

Date:

Note

* Strike out whichever is not applicable.

j(Affidavit must be on Stamp Paper of Value of Rs.20/- duly sworn in before a Public Notary (Duly affixed with Notary Stamp, Notary Seal and Notary Registration number) or First Class Magistrate.)

Annexure-H

Detailed Statement of Expenditure incurred for adoption of Technology

As per the documents and records of M/s. _____ with registered office at _____ and factory is located at _____ and EM Part-II number: _____ Dated: _____. The enterprise has incurred a total expenditure of Rs. _____ (Rupees _____ Only) for adopting the Energy Conservation measures or the Non-Conventional Energy.

The categorization of expenditure is as follows:

Sl. No.	Details of Payments	Certifying Agency / Organisation	Amount (Rs.)	Remarks
a)	Fixed investments			
b)	Supervision Charges			
d)	Design Charges			
e)	Consultancy Charges			
Total				

(Note: The above details of payments must be supported by the duly attested copies of invoices and receipts of payments made towards adopting the Energy Conservation Measures and Use of Non-Conventional Energy Sources.)

Place:

Signature and Seal

Date:

(Proprietor/Mg. Partner/Chief Executive)

AFFIDAVIT

I, S/o. Sri., aged.....years, Inventor / Managing Director/ Director / Proprietor / Partner,* M/s with their Regd. Office at & Factory located atwith E.M. No:..... dated:..... do hereby solemnly affirm and declare as under:

The Company / Firm / Establishment has been a Ancillary /Micro/ Small Enterprise* as per the Govt. of India definition; and has been functional & in production at the time of adopting the Energy Conservation Measures and Use of Non-Conventional Energy Sources.

The Company/Firm/Establishment continues to be a Ancillary /Micro/ Small Enterprise* and functional & in production as on date.

As per books of accounts, the total investment (original purchase value) on plant and machinery in the Company / Firm / Establishment* as on is Rs..... (As per Chartered Accountant Certificate dated: to this effect is attached).

(i) That the aforesaid Company/Firm/Establishment(s)* have not availed reimbursement /subsidy /grant /incentive* for adopting the Energy Conservation Measures and Use of Non-Conventional Energy Sources under any Scheme operated by Central Govt. / State Govt. / Financial Institution* etc.

or

(ii) That the aforesaid Company/Firm/Establishment(s)* have claimed & received reimbursement/subsidy/ grant/incentive for adopting the Energy Conservation Measures and Use of Non-Conventional Energy Sources to Rs_____ (Rupees _____ from _____(Name of the Central Govt. /State Govt. Dept./Financial Institution* vide Draft/Cheque* No:_____ Date: _____ of _____(Name of Bank).

(i) That the aforesaid Company / Firm / Establishment(s)* have already applied to _____ (Name of the Central Govt / State Govt/ Financial Institution)* vide application dated: _____for reimbursement/ subsidy/ grant /incentive* for adopting the Energy Conservation Measures and Use of Non-Conventional Energy Sources.

or

(ii) That the aforesaid Company/Firm/Establishment(s)* have not applied to any Central Govt./ State Govt./ Financial Institution (except KCTU, Bangalore, Govt. of Karnataka), for reimbursement / subsidy /grant / incentive*, for adopting the Energy Conservation Measures and Use of Non-Conventional Energy Sources.

That after availing reimbursement for adopting the Energy Conservation Measures and Use of Non-Conventional Energy Sources in respect of the said Company /Firm /Establishment(s)*, I shall disclose this fact on behalf of the said Company /Firm /Establishment(s)* at the time of claiming/ receiving reimbursement/ subsidy/grant/incentive*, if any, under any other similar scheme run by Central Govt./State Govt. /Financial Institutions* etc.

Signed on this day of _____ Date: _____

DEPONENT

VERIFICATION

I hereby solemnly affirm that the information given above is correct. In case above declaration is found wrong or incorrect or misleading, I do hereby bind myself & my unit and undertake to pay to the Government on demand the full amount received as reimbursement in respect of above mentioned activity, within seven days of the demand being made to me in writing.

DEPONENT

Place:

Date:

Note

* Strike out whichever is not applicable.

(Affidavit must be on Stamp Paper of Value of Rs.20/- duly sworn in before a Public Notary (Duly affixed with Notary Stamp, Notary Seal and Notary Registration number) or First Class Magistrate.)

Annexure – J**Detailed Statement of Expenditure incurred for adoption of Rain Water Harvesting Technology / Waste Water Recycling / Zero Discharging**

As per the documents and records of M/s. _____ with registered office at _____ and factory is located at _____ and EM Part-II number: _____ Dated: _____. The enterprise has incurred a total expenditure of Rs. _____ (Rupees _____ Only) for adopting rain water harvesting technology / Waste Water Recycling / Zero Discharging.

The categorization of expenditure is as follows:

Sl. No.	Details of Payments	Certifying Agency / Organisation	Amount (Rs.)	Remarks
a)	Pipes fitted for Roof Water Collection & Filtration System			
b)	Roof & Underground Tanks (Cement / Plastic) Cost			
d)	Machineries & Equipments Waste Water recycling			
e)	Zero Discharging Consultancy Charges			
Total				

(Note: The above details of payments must be supported by the duly attested copies of invoices and receipts of payments made towards adopting rain water harvesting technology / Waste Water Recycling / Zero Discharging.)

Place:

Signature and Seal

Date:

(Proprietor/Mg. Partner/Chief Executive)

AFFIDAVIT

I, S/o. Sri., aged.....years, Inventor / Managing Director/ Director / Proprietor / Partner,* M/s with their Regd. Office at & Factory located atwith E.M. No:..... dated:..... do hereby solemnly affirm and declare as under:

The Company / Firm / Establishment has been a Ancillary /Micro/ Small Enterprise* as per the Govt. of India definition; and has been functional & in production at the time of adopting rain water harvesting technology /Waste Water Recycling / Zero Discharging.

The Company/Firm/Establishment continues to be a Ancillary /Micro/ Small Enterprise* and functional & in production as on date.

As per books of accounts, the total investment (original purchase value) on plant and machinery in the Company / Firm / Establishment* as on is Rs..... (As per Chartered Accountant Certificate dated: to this effect is attached).

(i) That the aforesaid Company/Firm/Establishment(s)* have not availed reimbursement /subsidy / grant /incentive* for adopting rain water harvesting technology / Waste Water Recycling / Zero Discharging under any Scheme operated by Central Govt. / State Govt. / Financial Institution* etc.

or

(ii) That the aforesaid Company/Firm/Establishment(s)* have claimed & received reimbursement/subsidy/ grant/incentive for adopting rain water harvesting technology / Waste Water Recycling / Zero Discharging amounting to Rs _____ (Rupees _____ from _____(Name of the Central Govt. / State Govt. Dept./Financial Institution* vide Draft/Cheque* No:_____ Date: _____ of _____(Name of Bank).

(i) That the aforesaid Company / Firm / Establishment(s)* have already applied to _____ (Name of the Central Govt / State Govt/ Financial Institution)* vide application dated: _____for reimbursement/ subsidy/ grant /incentive* for adopting rain water harvesting technology / Waste Water Recycling / Zero Discharging.

or

(ii) That the aforesaid Company/Firm/Establishment(s)* have not applied to any Central Govt./ State Govt./ Financial Institution (except KCTU, Bangalore, Govt. of Karnataka), for reimbursement / subsidy /grant / incentive*, for adopting rain water harvesting technology / Waste Water Recycling / Zero Discharging.

That after availing reimbursement for adopting rain water harvesting technology / Waste Water Recycling/ Zero Discharging Charges in respect of the said Company /Firm /Establishment(s)*, I shall disclose this fact on behalf of the said Company /Firm /Establishment(s)* at the time of claiming/ receiving reimbursement/ subsidy/grant/incentive*, if any, under any other similar scheme run by Central Govt./ State Govt. /Financial Institutions* etc.

Signed on this day of _____ Date: _____

DEPONENT

VERIFICATION

I hereby solemnly affirm that the information given above is correct. In case above declaration is found wrong or incorrect or misleading, I do hereby bind myself & my unit and undertake to pay to the Government on demand the full amount received as reimbursement in respect of above mentioned activity, within seven days of the demand being made to me in writing.

DEPONENT

Place:

Date:

Note

* Strike out whichever is not applicable.

(Affidavit must be on Stamp Paper of Value of Rs.20/- duly sworn in before a Public Notary (Duly affixed with Notary Stamp, Notary Seal and Notary Registration number) or First Class Magistrate.)